

2017 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F96000001971

Entity Name: SII INVESTMENTS, INC**Current Principal Place of Business:**5555 GRANDE MARKET DR
APPLETON, WI 54913**Current Mailing Address:**P O BOX 5097
APPLETON, WI 54912-5097 US**FEI Number:** 39-1099262**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title PRESIDENT, CEO, ASSISTANT
SECRETARY
Name KINART, TODD M
Address 5555 W GRANDE MARKET DR
City-State-Zip: APPLETON WI 54913

Title DIRECTOR
Name KINART, TODD M
Address 5555 W GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title SENIOR VICE PRESIDENT, GENERAL
COUNSEL, ASSISTANT SECRETARY
Name GUNDERSON, GERRY
Address 7601 TECHNOLOGY WAY
City-State-Zip: DENVER CO 80237

Title SVP, CHIEF OPERATIONS OFFICER
Name KALINOWSKI, DAWN
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title SVP
Name MILLER, JAMES P
Address 5555 W GRANDE MARKET DR
City-State-Zip: APPLETON WI 54913

Title CFO, SVP
Name COLLINS, MAURA
Address 7601 TECHNOLOGY WAY
City-State-Zip: DENVER CO 80237

Title SVP, CHIEF COMPLIANCE OFFICER
Name LOASBY, DAVID A
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

Title VP
Name ARMOCK, RYAN
Address 5555 W. GRANDE MARKET DRIVE
City-State-Zip: APPLETON WI 54913

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: TODD KINART**DIRECTOR****03/24/2017**

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title VP
 Name CARLSON, BRIAN
 Address 5555 W. GRANDE MARKET DRIVE
 City-State-Zip: APPLETON WI 54913

Title VP, CONTROLLER, FINOP
 Name LAMBRECHTS, VANESSA
 Address 100 NORTH SEPULVEDA BLVD
 City-State-Zip: EL SEGUNDO CA 90245

Title VP
 Name VANALSTINE, KELLY
 Address 5555 W. GRANDE MARKET DRIVE
 City-State-Zip: APPLETON WI 54913

Title VP
 Name WITTHUHN, SUE
 Address 5555 W. GRANDE MARKET DRIVE
 City-State-Zip: APPLETON WI 54913

Title ASSISTANCT VICE PRESIDENT
 Name DEROSA, MICHAEL
 Address 5555 W. GRANDE MARKET DRIVE
 City-State-Zip: APPLETON WI 54913

Title ASSISTANT VICE PRESIDENT
 Name GOMEZ, ALFREDO
 Address 100 NORTH SEPULVEDA BLVD
 City-State-Zip: EL SEGUNDO CA 90245

Title ASSISTANT VICE PRESIDENT
 Name MANEVAL, TODD A
 Address 1 CORPORATE WAY
 City-State-Zip: LANSING MI 48951

Title VP
 Name AVENA, ALLAN R
 Address 100 NORTH SEPULVEDA BLVD
 City-State-Zip: EL SEGUNDO CA 90245

Title ASSISTANT VICE PRESIDENT
 Name DEVANNEY, WILLIAM
 Address 1 CORPORATE WAY
 City-State-Zip: LANSING MI 48951

Title DIRECTOR
 Name ROMINE, SCOTT E
 Address 300 INNOVATION DRIVE
 City-State-Zip: FRANKLIN TN 37067

Title SVP
 Name WRIGHT, DANIEL

Title VP, ASSOCIATE GENERAL COUNSEL
 Name FORBUSH, SCOTT A
 Address 1 CORPORATE WAY
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Title VP
 Name NAEF, M ALICE
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Title VP
 Name WEISS, DANIEL
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Title ASSISTANT VICE PRESIDENT
 Name BURTON, JEREMY
 Address 5555 W. GRANDE MARKET DRIVE
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Title ASSISTANT VICE PRESIDENT
 Name DESMIDT, JON
 Address 5555 W. GRANDE MARKET DRIVE
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Title ASSISTANT VICE PRESIDENT
 Name HANK, M KATHLEEN
 Address 5555 W. GRANDE MARKET DRIVE
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Title ASSISTANT VICE PRESIDENT
 Name VANDEN BROOKE, KELLY
 Address 5555 W. GRANDE MARKET DRIVE
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Title VP
 Name WAROT, ADAM
 Address 7601 TECHNOLOGY WAY
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Title ASSISTANT VICE PRESIDENT
 Name DOWNING, BOB
 Address 7601 TECHNOLOGY WAY
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Title DIRECTOR
 Name HARRIS, BRADLEY O
 Address 300 INNOVATION DRIVE
 City-State-Zip: FRANKLIN TN 37067

Title VP
 Name COLEMAN, CAREN
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Title ASSISTANT VICE PRESIDENT
Name AMBOS, JASON
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Title ASSISTANT VICE PRESIDENT
Name KENNEY, COURTNEY
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Title SECRETARY
Name RICHARDSON, KRISTAN L
Address 1 CORPORATE WAY
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City-State-Zip: EL SEGUNDO CA 90245

Title ASSISTANT VICE PRESIDENT
Name FELTNER, ASHLEY
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Title ASSISTANT VICE PRESIDENT
Name MOHR, RICK
Address 100 NORTH SEPULVEDA BLVD
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