

2022 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F19000004444

Entity Name: B. RILEY SECURITIES INC**Current Principal Place of Business:**11100 SANTA MONICA BLVD.
SUITE 800
LOS ANGELES, CA 90025**Current Mailing Address:**11100 SANTA MONICA BLVD.
SUITE 800
LOS ANGELES, CA 90025 US**FEI Number:** 52-1630477**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title EVP, GENERAL COUNSEL &
SECRETARY
Name FORMAN, ALAN
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

Title CHIEF INVESTMENT OFFICER
Name SHRIBMAN, DANIEL
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

Title EXECUTIVE CHAIRMAN
Name RILEY, BRYANT
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

Title SMD & CO-HEAD OF BANKING
Name MCNICOLL, PATRICE
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

Title CCO & DEPUTY GENERAL COUNSEL
Name MARKUNAS, MICHAEL
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

Title EXECUTIVE CHAIRMAN
Name KELLEHER, THOMAS
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

Title CO-HEAD OF BANKING
Name MANDARINO, PERRY
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

Title DIRECTOR
Name AHN, PHILLIP J.
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ANDY MOORE

CEO

03/21/2022

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title DIRECTOR
Name KELLEHER, THOMAS
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

Title CFO & TREASURER
Name MCCOY, MIKE
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

Title PRESIDENT
Name BAKER, JIMMY
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

Title DIRECTOR
Name RILEY, BRYANT
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025

Title CEO
Name MOORE, ANDY
Address 11100 SANTA MONICA BLVD.
SUITE 800
City-State-Zip: LOS ANGELES CA 90025