

2019 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F18000003865

Entity Name: ROTHSCCHILD & CO US INC.**Current Principal Place of Business:**1251 AVENUE OF THE AMERICAS
NEW YORK, NY 10020**Current Mailing Address:**1251 AVENUE OF THE AMERICAS
NEW YORK, NY 10020 US**FEI Number:** NOT APPLICABLE**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title DIRECTOR
Name MOSER, ALEKSEJ
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name RAIMALWALA, ARZAN
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name GOLDENBERG, BENJAMIN
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name LEE, BRIAN
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title DIRECTOR
Name TAKANO DE ARAUJO, CARLOS
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title DIRECTOR
Name DELO, CHARLES
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name HUYGHUES-DESPOINTES, CHARLES
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name JANG, CHARLES
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JOHN M. CARROLL**SECRETARY****01/29/2019**

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title	DIRECTOR
Name	KERECZ, CHARLES
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	SCHACKERT, CHRISTA
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRETOR
Name	MONDELL, DUSTIN
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	VOLSCHECK, GIDEON
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	BEDI, HAKARAN
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	BONNEAU, JIMMY
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	VICE PRESIDENT
Name	COOPER, JOSHUA
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	KISH, JUSTIN
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	GLODOWSKI, KEVIN
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	VICE PRESIDENT
Name	SHAH, KUNAL
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	GANSER, LOUIS

Title	DIRECTOR
Name	TONGUE, CHARLES
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	HYAMS, DANIEL
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	FAHERTY, ERIN
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	STOLOWITZ, GREG
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	NEISSA, JAMES
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	SECRETARY & DIRECTOR
Name	CARROLL, JOHN M
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	MICHAELS, JOSHUA
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	VICE PRESIDENT
Name	DOLCIMASCOLO, KERRY
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	BHALLA, KUNAL
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	DIRECTOR
Name	BURSTEIN, LINDA
Address	1251 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10020
Title	VICE PRESIDENT
Name	KALAMBAY, MARY
Address	1251 AVENUE OF THE AMERICAS

Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name PARENTI, MATTEO
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name POERSCHKE, MICHAEL
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name ROSSY, NICHOLAS
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name LINDEMANN, PAUL
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name ENGEL, PHILIP
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name ARNOLD, ROLF
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name COYLE, SARA
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title DIRECTOR
Name SIMON, PRATT
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name SINGH, SUNEET
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title DIRECTOR
Name D'AGATA, VICTOR
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

City-State-Zip: NEW YORK NY 10020

Title DIRECTOR
Name CHOU, MATTHEW
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title DIRECTOR
Name WILCHECK, MICHAEL
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title DIRECTOR
Name HOLLAND, PAMELA
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title DIRECTOR
Name GIALLIAS, PETER
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name KIM, ROBIN
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name KAROTKIN, SAMUEL
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name SLAOUI, SARAH
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title VICE PRESIDENT
Name FARREN, SUMNER
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

Title DIRECTOR
Name PINNAMANENI, VASU
Address 1251 AVENUE OF THE AMERICAS
City-State-Zip: NEW YORK NY 10020

