

**2022 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F13000005359

**Entity Name:** E D & F MAN CAPITAL MARKETS INC.

**Current Principal Place of Business:**

140 EAST 45TH STREET, 10TH FLOOR  
NEW YORK, NY 10017

**Current Mailing Address:**

801 BRICKELL AVENUE  
SUITE 1450  
MIAMI, FL 33131 US

**FEI Number:** 13-4012624

**Certificate of Status Desired:** Yes

**Name and Address of Current Registered Agent:**

MCCARTHY, PETER J.  
13915 OLD COAST ROAD  
UNIT 1201  
NAPLES, FL 34110 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:** PETER J. MCCARTHY

03/03/2022

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title PRESIDENT, CEO AND DIRECTOR  
Name WEINHOFFER, JOSEPH C.  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title SVP, COO AND DIRECTOR  
Name POSNER, BRENT P.  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title SVP, GENERAL COUNSEL AND SECRETARY  
Name HAYES, THOMAS A. JR.  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title EVP AND DIRECTOR  
Name SMITH, CHRISTOPHER  
Address 3 LONDON BRIDGE STREET  
City-State-Zip: LONDON SE1 9SG

Title DIRECTOR  
Name MOORE, JADE  
Address 3 LONDON BRIDGE STREET  
City-State-Zip: LONDON SE1 9SG

Title DIRECTOR  
Name GREGSON, CHARLES  
Address 3 LONDON BRIDGE STREET  
City-State-Zip: LONDON SE1 9SG

Title SVP AND CFO  
Name BATES, CHRISTOPHER  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title CHIEF RISK OFFICER AND DIRECTOR  
Name HOOD, STEPHEN M.  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

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*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** THOMAS A. HAYES JR.

SVP, GENERAL COUNSEL & SECRETARY 03/03/2022

Electronic Signature of Signing Officer/Director Detail

Date

**Officer/Director Detail Continued :**

Title CHIEF COMPLIANCE OFFICER  
Name DEMARTINO, FRANK P.  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title CHIEF INFORMATION OFFICER  
Name MALLAHAN, MICHAEL R.  
Address 425 S. FINANCIAL PLACE, SUITE 1850  
City-State-Zip: CHICAGO IL 60605

Title CFO - SWAP DEALER  
Name LOFLAND, CHRISTINE H.  
Address 425 S. FINANCIAL PLACE, SUITE 1850  
City-State-Zip: CHICAGO IL 60605

Title CHIEF COMPLIANCE OFFICER - SWAP DEALER  
Name DLABOHA, DENYS I.  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title ASSISTANT SECRETARY  
Name MCAULAY, SUZANNE  
Address 425 S. FINANCIAL PLACE, SUITE 1850  
City-State-Zip: CHICAGO IL 60605

Title COO - SWAP DEALER  
Name KAISER, JENNIFER L.  
Address 425 S. FINANCIAL PLACE, SUITE 1850  
City-State-Zip: CHICAGO IL 60605

Title CHIEF RISK OFFICER - SWAP  
Name LI, YA  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017