

**2014 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F13000005359

**Entity Name:** E D & F MAN CAPITAL MARKETS INC.

**Current Principal Place of Business:**

140 EAST 45TH STREET, 42ND FLOOR  
NEW YORK, NY 10017

**Current Mailing Address:**

2255 GLADES ROAD  
SUITE 324A  
BOCA RATON, FL 33431

**FEI Number:** 13-4012624

**Certificate of Status Desired:** Yes

**Name and Address of Current Registered Agent:**

LANG, CHAD K  
2525 PONCE DE LEON BOULEVARD  
SUITE 625  
CORAL GABLES, FL 33134 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title            PRESIDENT, CEO AND DIRECTOR  
Name            MCCARTHY, PETER J  
Address        140 EAST 45TH STREET, 42ND  
                  FLOOR  
City-State-Zip: NEW YORK NY 10017

Title            EVP, COO AND DIRECTOR  
Name            GALANTE, DONALD S  
Address        140 EAST 45TH STREET, 42ND  
                  FLOOR  
City-State-Zip: NEW YORK NY 10017

Title            SVP, DEPUTY COO AND DIRECTOR  
Name            POSNER, BRENT P  
Address        140 EAST 45TH STREET, 42ND  
                  FLOOR  
City-State-Zip: NEW YORK NY 10017

Title            SVP, GENERAL COUNSEL AND  
                  SECRETARY  
Name            HAYES, THOMAS A JR.  
Address        140 EAST 45TH STREET, 42ND  
                  FLOOR  
City-State-Zip: NEW YORK NY 10017

Title            SVP AND CFO  
Name            LEVINSON, MATTHEW J.  
Address        140 EAST 45TH STREET, 42ND  
                  FLOOR  
City-State-Zip: NEW YORK NY 10017

Title            CIO  
Name            MALLAHAN, MICHAEL R.  
Address        440 SOUTH LASALLE  
                  SUITE 1850  
City-State-Zip: CHICAGO IL 60605

Title            SVP AND CFO  
Name            LEVINSON, MATTHEW J.  
Address        140 EAST 45TH STREET, 42ND  
                  FLOOR  
City-State-Zip: NEW YORK NY 10017

Title            CIO  
Name            MALLAHAN, MICHAEL R.  
Address        440 SOUTH LASALLE  
                  SUITE 1850  
City-State-Zip: CHICAGO IL 60605

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** THOMAS A. HAYES JR.

**SVP, GENERAL COUNSEL    04/30/2014  
AND SECRETARY**

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date