

**2019 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# F13000005359

**Entity Name:** E D & F MAN CAPITAL MARKETS INC.

**Current Principal Place of Business:**

140 EAST 45TH STREET, 10TH FLOOR  
NEW YORK, NY 10017

**Current Mailing Address:**

2255 GLADES ROAD  
SUITE 324A  
BOCA RATON, FL 33431

**FEI Number:** 13-4012624

**Certificate of Status Desired:** Yes

**Name and Address of Current Registered Agent:**

LANG, CHAD K  
201 ALHAMBRA CIRCLE, SUITE 1205  
CORAL GABLES, FL 33134-5107 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title PRESIDENT, CEO AND DIRECTOR  
Name MCCARTHY, PETER J.  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title SVP, COO AND DIRECTOR  
Name POSNER, BRENT P.  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title SVP, GENERAL COUNSEL AND SECRETARY  
Name HAYES, THOMAS A. JR.  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title EVP AND DIRECTOR  
Name SMITH, CHRISTOPHER  
Address 3 LONDON BRIDGE STREET  
City-State-Zip: LONDON SE1 9SG

Title DIRECTOR  
Name KILGOUR, JACQUELINE C.  
Address 3 LONDON BRIDGE STREET  
City-State-Zip: LONDON SE1 9SG

Title DIRECTOR  
Name REASON, ROSS G.  
Address 3 LONDON BRIDGE STREET  
City-State-Zip: LONDON SE1 9SG

Title SVP AND CFO  
Name BATES, CHRISTOPHER  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title CHIEF CREDIT AND RISK OFFICER - NORTH AMERICA  
Name HOOD, STEPHEN M.  
Address 140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

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*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE:** THOMAS A. HAYES JR.

**SVP, GENERAL COUNSEL & SECRETARY** 04/03/2019

Electronic Signature of Signing Officer/Director Detail

Date

**Officer/Director Detail Continued :**

Title           TREASURER - AMERICAS  
Name           WEINHOFFER, JOSEPH C.  
Address        140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017

Title           CHIEF COMPLIANCE OFFICER AND  
                  ASSISTANT SECRETARY  
Name           DEMARTINO, FRANK P.  
Address        140 EAST 45TH STREET, 10TH FLOOR  
City-State-Zip: NEW YORK NY 10017