

2021 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F06000002544

Entity Name: W&S BROKERAGE SERVICES, INC.**Current Principal Place of Business:**400 BROADWAY, MS 36
CINCINNATI, OH 45202**Current Mailing Address:**400 BROADWAY, MS 36
CINCINNATI, OH 45202**FEI Number:** 31-0846576**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title DIRECTOR
Name MCGRUDER, JILL T
Address 303 BROADWAY SUITE 1100
City-State-Zip: CINCINNATI OH 45202

Title CHIEF COMPLIANCE OFFICER, VP
Name STEARNS, TIMOTHY S
Address 303 BROADWAY MS 03
City-State-Zip: CINCINNATI OH 45202

Title VP, MARKETING
Name DELUCA, JAMES J
Address 400 BROADWAY, MS 36
City-State-Zip: CINCINNATI OH 45202

Title CFO, SVP
Name WIEDENHEFT, TERRIE A
Address 303 BROADWAY
STE 1100
City-State-Zip: CINCINNATI OH 45202

Title DIRECTOR
Name WUEBBLING, DONALD J
Address 400 BROADWAY
City-State-Zip: CINCINNATI OH 45202

Title PRESIDENT, DIRECTOR
Name BRODIE, TROY D
Address 400 BROADWAY, MS 36
City-State-Zip: CINCINNATI OH 45202

Title VP, STRATEGIC DEVELOPMENT
Name LOVELESS, MATTHEW W
Address 400 BROADWAY, MS 36
City-State-Zip: CINCINNATI OH 45202

Title DIRECTOR
Name NIEMEYER, JONATHAN D
Address 303 BROADWAY
SUITE 1700
City-State-Zip: CINCINNATI OH 45202

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: TIMOTHY S. STEARNS**VP, CCO****03/15/2021**

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title SECRETARY
Name HERRON, SARAH SPARKS
Address 303 BROADWAY
SUITE 1700
City-State-Zip: CINCINNATI OH 45202

Title AVP
Name LUEBBE, GREGORY M
Address 400 BROADWAY, MS 36
City-State-Zip: CINCINNATI OH 45202

Title ASSISTANT TREASURER
Name MARCHESE, MICHAEL
Address 400 BROADWAY, MS 36
City-State-Zip: CINCINNATI OH 45202

Title VP, CORPORATE FINANCE AND
TREASURER
Name JOHNSON, JAY V
Address 400 BROADWAY, MS 36
City-State-Zip: CINCINNATI OH 45202

Title ASSISTANT TREASURER
Name ANDERSON, JASON
Address 400 BROADWAY, MS 36
City-State-Zip: CINCINNATI OH 45202