

2013 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 843498

Entity Name: WISS, JANNEY, ELSTNER ASSOCIATES, INC.

Current Principal Place of Business:

330 PFINGSTEN RD.
NORTHBROOK, IL 60062

Current Mailing Address:

330 PFINGSTEN RD.
NORTHBROOK, IL 60062

FEI Number: 36-2757956

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

BUSINESS FILINGS INCORPORATED
515 E. PARK AVENUE
TALLAHASSEE, FL 32301 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title D
Name STRATMAN, STEVEN F
Address 7446 N. 124 ST
City-State-Zip: OMAHA NE 68142

Title VP, DIRECTOR
Name KLEIN, GARY J
Address 330 PFINGSTEN RD.
City-State-Zip: NORTHBROOK IL 60062

Title PRESIDENT, DIR
Name NUGENT, WILLIAM J
Address 330 PFINGSTEN ROAD
City-State-Zip: NORTHBROOK IL 60062

Title VP, DIR
Name OCZKOWSKI, THOMAS S
Address 330 PFINGSTEN RD
City-State-Zip: NORTHBROOK IL 60062

Title VP
Name CHIN, IAN
Address 10 S LASALLE
City-State-Zip: CHICAGO IL 60603

Title VP
Name POPOVIC, PREDRAG
Address 330 PFINGSTEN ROAD
City-State-Zip: NORTHBROOK IL 60062

Title SECRETARY
Name SAFRANEK, STEPHEN J
Address 330 PFINGSTEN RD.
City-State-Zip: NORTHBROOK IL 60062

Title ASSISTANT SECRETARY, DIRECTOR
Name BRADY, THOMAS B
Address 330 PFINGSTEN RD.
City-State-Zip: NORTHBROOK IL 60062

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: THOMAS S. OCZKOWSKI

TREASURER / CFO / VP

03/25/2013

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title DIRECTOR
Name ALLANBROOK, TIMOTHY
Address 1350 BROADWAY SUITE 910
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR
Name KOOB, MICHAEL J
Address 330 PFINGSTEN RD.
City-State-Zip: NORTHBROOK IL 60062

Title DIRECTOR
Name LAWRENCE, BRIAN LE
Address 13581 POND SPRINGS ROAD #107
City-State-Zip: AUSTIN TX 78729

Title DIRECTOR
Name TRIANO, JAMES R
Address 1350 BROADWAY SUITE 910
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR
Name HANSON, JOHN M
Address 616 N. ABREGO DRIVE
City-State-Zip: GREEN VALLEY AZ 85614

Title DIRECTOR
Name KRINSKY, ROBERT D
Address 333 WEST 34TH STREET
City-State-Zip: NEW YORK NY 10001

Title DIRECTOR
Name SASAKI, KENT
Address 2000 POWELL STREET SUITE 1650
City-State-Zip: EMERYVILLE CA 94608