2016 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 838910

Entity Name: PIPER JAFFRAY & CO.

Current Principal Place of Business:

800 NICOLLET MALL SUITE 800

MINNEAPOLIS, MN 55402

Current Mailing Address:

800 NICOLLET MALL - ATTN: MELISSA RICHASON

MAIL STOP J09S02

MINNEAPOLIS, MN 55402 US

FEI Number: 41-0953246 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM 1200 S. PINE ISLAND RD. PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

FILED Mar 11, 2016

Secretary of State

CC1843868422

Officer/Director Detail:

Title C Title SECRETARY

NameDUFF, ANDREW SNameGEELAN, JOHN WAddress800 NICOLLET MALLAddress800 NICOLLET MALL

City-State-Zip: MINNEAPOLIS MN 55402 City-State-Zip: MINNEAPOLIS MN 55402

Title T

Name SCHONEMAN D, EBBRA L

Address 800 NICOLLET MALL

City-State-Zip: MINNEAPOLIS MN 55402

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JOHN W. GEELAN SECRETARY