

2013 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 831700

Entity Name: HSBC SECURITIES (USA) INC.

Current Principal Place of Business:

452 FIFTH AVENUE
TOWER 7
NEW YORK, NY 10018

Current Mailing Address:

452 FIFTH AVENUE
TOWER 7
NEW YORK, NY 10018 US

FEI Number: 13-2650272

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title P
Name NOLAN, PATRICK
Address 452 5TH AVE
City-State-Zip: NEW YORK NY 10018

Title S
Name STEFFENSEN, MARK
Address 452 FIFTH AVE
City-State-Zip: NEW YORK NY 10018

Title T
Name MASSARO, TIBERIO
Address 452 5TH AVE
City-State-Zip: NEW YORK NY 10018

Title CCO DIRECTOR
Name O'DONNELL, CHRISTOPHER R
Address 452 FIFTH AVENUE
TOWER 7
City-State-Zip: NEW YORK NY 10018

Title COO
Name FLANIGAN, MATTHEW J III
Address 452 FIFTH AVENUE
TOWER 7
City-State-Zip: NEW YORK NY 10018

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: CHRISTOPHER R O'DONNELL

CCO

03/22/2013

Electronic Signature of Signing Officer/Director Detail

Date