

2019 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 831700

Entity Name: HSBC SECURITIES (USA), INC.

Current Principal Place of Business:

452 FIFTH AVENUE
NEW YORK, NY 10018

Current Mailing Address:

452 FIFTH AVENUE
NEW YORK, NY 10018 US

FEI Number: 13-2650272

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title SR VICE PRESIDENT
Name GARCIA, JUAN L
Address 452 FIFTH AVENUE
City-State-Zip: NEW YORK NY 10018

Title SR VICE PRESIDENT
Name THACKER, MONIQUE N
Address 452 FIFTH AVENUE
City-State-Zip: NEW YORK NY 10018

Title CFO
Name LOMBARDO, STEVEN
Address 1 WEST 39TH STREET
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR
Name PIERCE, GREGORY
Address 452 FIFTH AVENUE
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR, PRESIDENT, CEO
Name BRANDAO, ANDRE G.
Address 452 FIFTH AVENUE
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR
Name WHITE, SUZY
Address 452 FIFTH AVENUE
City-State-Zip: NEW YORK NY 10018

Title SECRETARY
Name CHEBLI, MICHELE
Address 452 FIFTH AVENUE
City-State-Zip: NEW YORK NY 10018

Title SR. VICE PRESIDENT
Name HEISE, MELISSA
Address 330 MADISON AVE., 5TH FLR.
City-State-Zip: NEW YORK NY 10017

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ANDRE G. BRANDAO

PRESIDENT

04/10/2019

Electronic Signature of Signing Officer/Director Detail

Date