

**2015 FOREIGN PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# 831700

**Entity Name:** HSBC SECURITIES (USA), INC.

**Current Principal Place of Business:**

452 FIFTH AVENUE  
TOWER 7  
NEW YORK, NY 10018

**FILED**  
**Mar 25, 2015**  
**Secretary of State**  
**CC6566953182**

**Current Mailing Address:**

452 FIFTH AVENUE  
TOWER 7  
NEW YORK, NY 10018 US

**FEI Number: 13-2650272**

**Certificate of Status Desired: No**

**Name and Address of Current Registered Agent:**

CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION, FL 33324 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**Officer/Director Detail :**

Title P, DIRECTOR  
Name NOLAN, PATRICK  
Address 452 5TH AVE  
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR  
Name STEFFENSEN, MARK  
Address 452 FIFTH AVE  
City-State-Zip: NEW YORK NY 10018

Title T  
Name WHITE, SUZY  
Address 452 5TH AVE  
City-State-Zip: NEW YORK NY 10018

Title CCO  
Name VERGA, ANTHONY  
Address 452 FIFTH AVENUE  
TOWER 7  
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR  
Name FLANIGAN, MATTHEW J III  
Address 452 FIFTH AVENUE  
TOWER 7  
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR  
Name KELLY, NIAL J  
Address 452 FIFTH AVENUE  
TOWER 7  
City-State-Zip: NEW YORK NY 10018

Title SECRETARY  
Name WESTBROOK, CHRISTINE M  
Address 10 EAST 40TH STREET  
City-State-Zip: NEW YORK NY 10016

Title SR VICE PRESIDENT  
Name GARCIA, JUAN L  
Address 452 FIFTH AVENUE  
TOWER 7  
City-State-Zip: NEW YORK NY 10018

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*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

**SIGNATURE: ANTHONY VERGA**

**CCO**

**03/25/2015**

\_\_\_\_\_  
Electronic Signature of Signing Officer/Director Detail

\_\_\_\_\_  
Date

**Officer/Director Detail Continued :**

Title SR VICE PRESIDENT  
Name THACKER, MONIQUE N  
Address 452 FIFTH AVENUE  
TOWER 7  
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR  
Name KELLY, JAMES P  
Address 452 FIFTH AVENUE  
TOWER 7  
City-State-Zip: NEW YORK NY 10018

Title CFO  
Name LOMBARDO, STEVEN  
Address 1 WEST 39TH STREET  
City-State-Zip: NEW YORK NY 10018

Title ASST TREASURER  
Name STIEGEL, JAMES S  
Address 26525 N RIVERWOODS BLVD  
City-State-Zip: METTAWA IL 60045

Title ASST SECRETARY  
Name KUJAWA, HELEN  
Address 95 WASHINGTON STREET  
City-State-Zip: BUFFALO NY 14203

Title ASST. SECRETARY  
Name PATEL, AURELIA M  
Address 10 EAST 40TH STREET  
City-State-Zip: NEW YORK NY 10016

Title VP  
Name GIRON, STEPHANIE  
Address 961 WEIGEL DRIVE  
City-State-Zip: ELMHURST IL 60126

Title EX VICE PRESIDENT  
Name IRELAND, ANDREW  
Address 452 5TH AVENUE  
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR  
Name MEISSNER, ALEXIS VASQUEZ  
Address 8 EAST 40TH STREET  
City-State-Zip: NEW YORK NY 10016

Title ASST. TREASURER  
Name BEHNKE, RICK L  
Address 26525 N RIVERWOODS BLVD  
City-State-Zip: METTAWA IL 60045

Title SR VICE PRESIDENT  
Name RAIMONDO, DANIEL  
Address 1 WEST 39TH STREET  
City-State-Zip: NEW YORK NY 10018

Title ASST SECRETARY  
Name WEIGAND, FRANK  
Address 452 FIFTH AVENUE  
TOWER 7  
City-State-Zip: NEW YORK NY 10018

Title ASST. SECRETARY  
Name SNELL, PAMELA  
Address 95 WASHINGTON STREET  
City-State-Zip: BUFFALO NY 14203

Title SR VICE PRESIDENT  
Name DITONDO, DIANE  
Address 2929 WALDEN AVE COLUMN C68  
City-State-Zip: DEPEW NY 14042

Title SR VICE PRESIDENT  
Name KRAEBEL, JEFFREY  
Address 452 5TH AVENUE  
City-State-Zip: NEW YORK NY 10018

Title DIRECTOR  
Name DESCAMPS, DIDIER  
Address 452 FIFTH AVENUE  
TOWER 7  
City-State-Zip: NEW YORK NY 10018