

2021 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 827423

Entity Name: BNY MELLON SECURITIES CORPORATION**Current Principal Place of Business:**240 GREENWICH STREET
NEW YORK, NY 10286**Current Mailing Address:**240 GREENWICH STREET
NEW YORK, NY 10286 US**FEI Number:** 13-2603136**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title DIRECTOR
Name POVENCHER, ANDREW
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title DIRECTOR
Name BRADLE, KENNETH J.
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title TREASURER
Name SHEA, JOHN P.
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title CFO
Name SHEA, JOHN P.
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title SECRETARY
Name STEINGARTEN, BRIE A.
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title DIRECTOR
Name LAROCHE-MORRIS, RENEE
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title CHIEF TECHNOLOGY OFFICER
Name MAYO, ANTHONY
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title CHIEF RISK OFFICER
Name SCOTT, KATHERINE
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: BRIE A. STEINGARTEN**SECRETARY****04/24/2021**

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title CHIEF LEGAL OFFICER
Name STEINGARTEN, BRIE A.
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title CHIEF COMPLIANCE OFFICER (BROKER-
DEALER BUSINESS)
Name KENNEDY, WILLIAM
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title ASST. SECRETARY
Name RICE, CRISTINA M.
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title PRESIDENT
Name BRADLE, KENNETH J.
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title CHIEF COMPLIANCE OFFICER
(INVESTMENT ADVISORY BUSINESS)
Name SQUILLACE, JOHN
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title CHAIRMAN OF THE BOARD
Name LAROCHE-MORRIS, RENEE
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title ASST. SECRETARY
Name HELSCHER, ALICE
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286

Title ASST. SECRETARY
Name BITETTO, JAMES
Address 240 GREENWICH STREET
City-State-Zip: NEW YORK NY 10286