

2023 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 813294

Entity Name: MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED**Current Principal Place of Business:**ONE BRYANT PARK
NEW YORK, NY 10036**Current Mailing Address:**ONE BRYANT PARK
NEW YORK, NY 10036 US**FEI Number:** 13-5674085**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title DIRECTOR
Name LEVINE, ARON D
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title SECRETARY
Name PRYOR, ELIZABETH A.
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title DIRECTOR
Name GLENFIELD, KEITH
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title DIRECTOR
Name SABBIA, LORNA R.
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title SENIOR VICE PRESIDENT
Name BARTH, NATHAN A.
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title TREASURER, MANAGING DIRECTOR
Name ISHII, MANAMI
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title CEO, DIRECTOR
Name SIEG, ANDREW M
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title CFO, MANAGING DIRECTOR
Name ALAM, FARUQE
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Continues on page 2

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: BARTH , NATHAN A.**SENIOR VICE PRESIDENT 04/26/2023**

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued :

Title ASST. SECRETARY
Name BENNETT, JENNIFER E
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title CHIEF OPERATIONS OFFICER, MANAGING DIRECTOR
Name GUARDINO, JOSEPH A
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title MANAGING DIRECTOR
Name HINGST, MARCY
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title ASST. SECRETARY
Name MARGOLIN, ANDREW S
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title SENIOR VICE PRESIDENT
Name RACANIELLO, FRANK
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title ASST. SECRETARY
Name JOHNSON, COLLEEN O
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title ASST. SECRETARY
Name COSTAMAGNA, CHRISTINE M
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title CHIEF LEGAL OFFICER, MANAGING DIRECTOR
Name HEATON, DAVID C
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title ANTI-MONEY LAUNDERING COMPLIANCE OFFICER
Name LEVENTHAL, SAMANTHA J
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title CHIEF FINANCIAL COMPLIANCE OFFICER
Name PISCITELLI, DAVID A
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036

Title VICE PRESIDENT - TAX
Name STANDING , RESOLUTIONS
Address ONE BRYANT PARK
City-State-Zip: NEW YORK NY 10036