

2016 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 813294

Entity Name: MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED

Current Principal Place of Business:

ONE BRYANT PARK
NEW YORK, NY 10036

Current Mailing Address:

150 N COLLEGE ST; NC1-028-17-06
CHARLOTTE, NC 28255 US

FEI Number: 13-5674085

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title CCEO
Name MONTAG, THOMAS K
Address 150 N COLLEGE ST; NC1-028-17-06
City-State-Zip: CHARLOTTE NC 28255

Title SEC
Name BILLINGS, ERIC R
Address 150 N COLLEGE ST; NC1-028-17-06
City-State-Zip: CHARLOTTE NC 28255

Title SVP
Name PRITCHARD, JASON
Address 150 N COLLEGE ST; NC1-028-17-06
City-State-Zip: CHARLOTTE NC 28255

Title TREA
Name SEITZ, RICHARD S
Address 150 N COLLEGE ST; NC1-028-17-06
City-State-Zip: CHARLOTTE NC 28255

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JASON PRITCHARD

SVP

04/04/2016

Electronic Signature of Signing Officer/Director Detail

Date