

2013 FOREIGN PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 813294

Entity Name: MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED**Current Principal Place of Business:**ONE BRYANT PARK
NEW YORK, NY 10036**Current Mailing Address:**150 N COLLEGE ST; NC1-028-17-06
CHARLOTTE, NC 28255 US**FEI Number:** 13-5674085**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**_____
Electronic Signature of Registered Agent_____
Date**Officer/Director Detail :**

Title	CCEO
Name	MONTAG, THOMAS K
Address	150 N COLLEGE ST; NC1-028-17-06
City-State-Zip:	CHARLOTTE NC 28255

Title	CCEO
Name	DARNELL, DAVID C
Address	150 N COLLEGE ST; NC1-028-17-06
City-State-Zip:	CHARLOTTE NC 28255

Title	DIR
Name	DARNEL, DAVID C
Address	150 N COLLEGE ST; NC1-028-17-06
City-State-Zip:	CHARLOTTE NC 28255

Title	SVP
Name	MILLER, ERIK
Address	150 N COLLEGE ST; NC1-028-17-06
City-State-Zip:	CHARLOTTE NC 28255

Title	SEC
Name	BILLINGS, ERIC R
Address	150 N COLLEGE ST; NC1-028-17-06
City-State-Zip:	CHARLOTTE NC 28255

Title	TREA
Name	SEITZ, RICHARD S
Address	150 N COLLEGE ST; NC1-028-17-06
City-State-Zip:	CHARLOTTE NC 28255

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ERIK MILLER

SVP

04/24/2013

Electronic Signature of Signing Officer/Director Detail_____
Date