

2019 FOREIGN NOT FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P18691

Entity Name: FINANCIAL INDUSTRY REGULATORY AUTHORITY, INC.**Current Principal Place of Business:**1735 K STREET, NW
WASHINGTON, DC 20006**Current Mailing Address:**9509 KEY WEST AVENUE
ATTN: FINANCE/TAX
ROCKVILLE, MD 20850 US**FEI Number:** 53-0088710**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**_____
Electronic Signature of Registered Agent_____
Date**Officer/Director Detail :**

Title	EVP
Name	WOLLMAN, BILL
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006

Title	DIRECTOR
Name	MADRIAN, BRIGITTE C
Address	730A TNRB
City-State-Zip:	PROVO UT 84602

Title	CHIEF RISK OFFICER
Name	DI FLORIO, CARLO V
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006

Title	DIRECTOR
Name	PLOSSER, CHARLES I
Address	9 LAUREL OAK RD
City-State-Zip:	AMELIA ISLAND FL 32034

Title	DIRECTOR
Name	KOVACK ESQ, BRIAN J
Address	6451 N FEDERAL HWY STE 1201
City-State-Zip:	FORT LAUDERDALE FL 33308

Title	EVP
Name	FUNKHOUSER, CAMERON
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006

Title	DIRECTOR
Name	DRUMMOND, LANCE F
Address	14263 NOLEN LANE
City-State-Zip:	CHARLOTTE NC 28277

Title	DIRECTOR
Name	WALTER, ELISSE B
Address	7529 ROYAL DOMINION DR
City-State-Zip:	BETHESDA MD 20817

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ROBERT A. RENNER**VICE PRESIDENT****04/29/2019**_____
Electronic Signature of Signing Officer/Director Detail_____
Date

Officer/Director Detail Continued :

Title	SVP
Name	WALSH, GERALDINE M
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006
Title	DIRECTOR
Name	WEBBER, AMY L
Address	1776 PLEASANT PLAIN RD
City-State-Zip:	FAIRFIELD IA 52556
Title	DIRECTOR
Name	MECANE, JOSEPH M
Address	350 PARK AVE 10TH FL
City-State-Zip:	NEW YORK NY 10022
Title	DIRECTOR
Name	LEVINE, JOSHUA S
Address	2 E. ELM ST #25
City-State-Zip:	GREENWICH CT 06830
Title	DIRECTOR
Name	SEIDMAN, LESLIE F
Address	10 BEECHWOOD LANE
City-State-Zip:	WESTPORT CT 06880
Title	SECRETARY, EVP
Name	ASQUITH, MARCIA E
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006
Title	EVP
Name	RUFINO, MICHAEL
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006
Title	VP
Name	RENNER, ROBERT A
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006
Title	DIRECTOR
Name	LAZARUS, ROCHELLE
Address	636 11TH AVE
City-State-Zip:	NEW YORK NY 10036
Title	SVP
Name	SCHOENEMAN, STEVE
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006
Title	EVP

Title	CHAIRMAN, DIRECTOR
Name	HEYMAN, WILLIAM H
Address	485 LEXINGTON AVE
City-State-Zip:	NEW YORK NY 10017
Title	SVP
Name	SOKOBIN, JONATHAN S
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006
Title	DIRECTOR
Name	NAGENGAST, JAMES D
Address	12325 PORT GRACE BLVD
City-State-Zip:	LA VISTA NE 68128
Title	DIRECTOR
Name	MURPHY, KATHLEEN A
Address	200 SEAPORT BLVD, V7A
City-State-Zip:	BOSTON MA 02210
Title	DIRECTOR
Name	VICEIRA, LUIS M
Address	BAKER LIBRARY 367
City-State-Zip:	BOSTON MA 02163
Title	SVP
Name	DROBNYK, JOSH
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006
Title	EVP
Name	BERRY, RICHARD W
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006
Title	CHIEF LEGAL OFFICER
Name	COLBY, ROBERT
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006
Title	EVP, CIO
Name	RANDICH, STEVEN J
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006
Title	DIRECTOR
Name	JENAH, SUSAN WOLBURGH
Address	192 CARMICHAEL AVE
City-State-Zip:	TORONTO ON M5M 2X3
Title	EVP
Name	SELMAN, THOMAS M
Address	1735 K STREET, NW

Name GIRA, THOMAS
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title CFO, EVP, TREASURER, CAO
Name DIGANCI, TODD T
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title CEO, PRESIDENT, DIRECTOR
Name COOK, ROBERT W
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title DIRECTOR
Name MUH, ROBERT A
Address 220 MONTGOMERY STREET
SUITE 468
City-State-Zip: SAN FRANCISCO CA 94104

Title DIRECTOR
Name MURRAY, EILEEN K
Address 400 NYALA FARMS RD
City-State-Zip: WESTPORT CT 06880

Title DIRECTOR
Name DUFF, ANDREW S
Address 800 NICOLLET MALL
SUITE 1000
City-State-Zip: MINNEAPOLIS MN 55402

Title DIRECTOR
Name KOHN, STEPHEN A
Address 7114 W JEFFERSON AVE
SUITE 305
City-State-Zip: LAKEWOOD CO 80235

Title DIRECTOR
Name SCHEVE, TIMOTHY C
Address 1717 ARCH ST, FLOOR 22
City-State-Zip: PHILADELPHIA PA 19103

Title EVP
Name HAVLIK, BARI
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

City-State-Zip: WASHINGTON DC 20006

Title SVP
Name JOHNSON, TRACY
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title DIRECTOR
Name SALE, HILLARY A
Address 600 NEW JERSEY AVE, NW
City-State-Zip: WASHINGTON DC 20001

Title DIRECTOR
Name PIERCE, PAIGE W
Address 8955 S TAFT HILL DR SUITE 100
City-State-Zip: SANDY UT 84093

Title SVP
Name JONES JR., ALTON L.
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title SVP
Name DEAN JR., GREGORY J
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title EVP
Name SCHROEDER, SUSAN
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title DIRECTOR
Name EHNES, JOHN
Address 100 WATERFRONT PLACE
City-State-Zip: WEST SACRAMENTO CA 95605