

2021 FOREIGN NOT FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P18691

Entity Name: FINANCIAL INDUSTRY REGULATORY AUTHORITY, INC.**Current Principal Place of Business:**1735 K STREET, NW
WASHINGTON, DC 20006**Current Mailing Address:**9509 KEY WEST AVENUE
ATTN: FINANCE/TAX
ROCKVILLE, MD 20850 US**FEI Number:** 53-0088710**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**_____
Electronic Signature of Registered Agent_____
Date**Officer/Director Detail :**

Title	EVP
Name	WOLLMAN, BILL
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006

Title	DIRECTOR
Name	DRUMMOND, LANCE F
Address	14263 NOLEN LANE
City-State-Zip:	CHARLOTTE NC 28277

Title	SVP
Name	WALSH, GERALDINE M
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006

Title	SVP
Name	SOKOBIN, JONATHAN S
Address	1735 K STREET, NW
City-State-Zip:	WASHINGTON DC 20006

Title	DIRECTOR
Name	KOVACK ESQ, BRIAN J
Address	6451 N FEDERAL HWY STE 1201
City-State-Zip:	FORT LAUDERDALE FL 33308

Title	DIRECTOR
Name	PLOSSER, CHARLES I
Address	9 LAUREL OAK RD
City-State-Zip:	AMELIA ISLAND FL 32034

Title	DIRECTOR
Name	WEBBER, AMY L
Address	1776 PLEASANT PLAIN RD
City-State-Zip:	FAIRFIELD IA 52556

Title	DIRECTOR
Name	NAGENGAST, JAMES D
Address	12325 PORT GRACE BLVD
City-State-Zip:	LA VISTA NE 68128

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I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ROBERT A RENNER**SENIOR VICE PRESIDENT 04/28/2021**_____
Electronic Signature of Signing Officer/Director Detail_____
Date

Officer/Director Detail Continued :

Title DIRECTOR
Name MURPHY, KATHLEEN A
Address 200 SEAPORT BLVD, V7A
City-State-Zip: BOSTON MA 02210

Title EVP
Name BERRY, RICHARD W
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title CHIEF LEGAL OFFICER, EVP
Name COLBY, ROBERT
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title EVP
Name GIRA, THOMAS
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title CEO, PRESIDENT, DIRECTOR
Name COOK, ROBERT W
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title DIRECTOR
Name PIERCE, PAIGE W
Address 8955 S TAFT HILL DR SUITE 100
City-State-Zip: SANDY UT 84093

Title SVP
Name DEAN JR., GREGORY J
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title DIRECTOR
Name SCHEVE, TIMOTHY C
Address 1717 ARCH ST, FLOOR 22
City-State-Zip: PHILADELPHIA PA 19103

Title EVP
Name HAVLIK, BARI
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title DIRECTOR
Name FLINT, CHRISTOPHER W.
Address 3120 139TH AVENUE SE
SUITE 300
City-State-Zip: BELLEVUE WA 98005

Title SVP

Title SECRETARY, EVP
Name ASQUITH, MARCIA E
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title VP
Name RENNER, ROBERT A
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title EVP, CIO
Name RANDICH, STEVEN J
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title CFO, EVP, TREASURER
Name DIGANCI, TODD T
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title DIRECTOR
Name SALE, HILLARY A
Address 600 NEW JERSEY AVE, NW
City-State-Zip: WASHINGTON DC 20001

Title DIRECTOR
Name MURRAY, EILEEN K
Address 400 NYALA FARMS RD
City-State-Zip: WESTPORT CT 06880

Title DIRECTOR
Name KOHN, STEPHEN A
Address 7114 W JEFFERSON AVE
SUITE 305
City-State-Zip: LAKEWOOD CO 80235

Title DIRECTOR
Name EHNES, JOHN
Address 100 WATERFRONT PLACE
City-State-Zip: WEST SACRAMENTO CA 95605

Title DIRECTOR
Name BUSETTE, CAMILLE M.
Address 1775 MASSACHUSETTS AVENUE, NW
City-State-Zip: WASHINGTON DC 20036

Title DIRECTOR
Name TAFARA, ETHIOPIS
Address 2121 PENNSYLVANIA AVENUE, NW
City-State-Zip: WASHINGTON DC 20433

Title DIRECTOR
Name MURPHY, LINDE

Name HOPPER, JESSICA
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title DIRECTOR
Name FISHER, PETER R.
Address TUCK SCHOOL OF BUSINESS
DARTMOUTH COLLEGE
City-State-Zip: HANOVER NH 03755

Title DIRECTOR
Name LANTON, WENDY
Address 35 PINELAWN ROAD
SUITE 101E
City-State-Zip: MELVILLE NY 11747

Title DIRECTOR
Name DEBORAH, BAILEY
Address 1200 CALIFORNIA STREET
APT 19C
City-State-Zip: SAN FRANCISCO CA 94109

Title DIRECTOR
Name NOLL, ERIC
Address 401 E. CITY AVENUE #815
City-State-Zip: BALA CYNWYD PA 19004

Title SENIOR VICE PRESIDENT/CHO
Name DELANEY, MAUREEN
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Address 950 EAST BASSE ROAD
City-State-Zip: SAN ANTONIO TX 78209

Title DIRECTOR
Name SCHEVE, TIMOTHY C
Address 1717 ARCH STREET
FL 22
City-State-Zip: PHILADELPHIA PA 19103

Title EXECUTIVE VICE PRESIDENT
Name WASHINGTON, RAINIA L
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title SENIOR VICE PRESIDENT/CAE
Name DOMBAY, DENISE
Address 1735 K STREET, NW
City-State-Zip: WASHINGTON DC 20006

Title DIRECTOR
Name RUEMMLER, KATHRYN
Address 200 WEST STREET
City-State-Zip: NEW YORK NY 10282

Title DIRECTOR
Name JENSEN, MAUREEN
Address 117 CAMERON STREET
City-State-Zip: THORNBURY ONTARIO N0H 2P0