

2013 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M12000006929

Entity Name: CETERA FINANCIAL SPECIALISTS SERVICES LLC

Current Principal Place of Business:

200 N. MARTINGALE RD., 7TH FLOOR
SCHAUMBURG, IL 60173

Current Mailing Address:

400 1ST STREET SOUTH, SUITE 300
ST CLOUD, MN 56301 US

FEI Number: 36-3287737

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Authorized Person(s) Detail :

Title MGR
Name BROWN, VALERIE G
Address 200 NORTH SEPULVEDA BLVD.,
SUITE 1200
City-State-Zip: EL SEGUNDO CA 90245

Title MGR
Name VASQUEZ, ENRIQUE M
Address 200 N. MARTINGALE RD., 7TH FLOOR

City-State-Zip: SCHAUMBURG IL 60173

Title MGR
Name GRIST, BARNABY
Address 200 NORTH SEPULVEDA BLVD.,
SUITE 1200
City-State-Zip: EL SEGUNDO CA 90245

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ENRIQUE M. VASQUEZ

PRESIDENT & CEO

04/16/2013

Electronic Signature of Signing Authorized Person(s) Detail

Date