2020 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

FILED
Feb 28, 2020
Secretary of State
2472657434CC

DOCUMENT# M11000004786

Entity Name: ALLY INVEST SECURITIES LLC

Current Principal Place of Business:

11605 N COMMUNITY HOUSE RD
3RD FLOOR
CHARLOTTE, NC 28277

Current Mailing Address:

11605 N COMMUNITY HOUSE RD
3RD FLOOR
CHARLOTTE, NC 28277 US

FEI Number: 45-4567841

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 SOUTH PINE ISLAND RD.
PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent — Date

Authorized Person(s) Detail :

Title	PRESIDENT	Title	FINOP
Name	DEMMISSIE, LULE	Name	CHIODO, MICHAEL
Address	11605 N COMMUNITY HOUSE RD 3RD FLOOR	Address	888 E LAS OLAS BLVD 3RD FLOOR
City-State-Zip:	CHARLOTTE NC 28277	City-State-Zip:	FORT LAUDERDALE FL 33301
Title	AML COMPLIANCE OFFICER	Title	SENIOR DIRECTOR, TRADING
Name	THOMSON, CHERYL	Name	DOMINIC, JOHN
Address	11605 N COMMUNITY HOUSE RD 3RD FLOOR	Address	888 E LAS OLAS BLVD 3RD FLOOR
City-State-Zip:	CHARLOTTE NC 28277	City-State-Zip:	FORT LAUDERDALE FL 33301

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: MICHAEL CHIODO FINOP 02/28/2020

Electronic Signature of Signing Authorized Person(s) Detail — Date