

2021 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M09000004409

Entity Name: WELLINGTON SHIELDS & CO., LLC

Current Principal Place of Business:

140 BROADWAY, 44TH FLOOR
NEW YORK, NY 10005

Current Mailing Address:

140 BROADWAY, 44TH FLOOR
NEW YORK, NY 10005

FEI Number: 26-3489406

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

FURNARI, KRISTINA M
2119 SOUTH FLAGLER AVE
FLAGLER BEACH, FL 32136 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: KRISTINA FURNARI

04/28/2021

Electronic Signature of Registered Agent

Date

Authorized Person(s) Detail :

Title MGR
Name SHIELDS, DAVID V
Address 140 BROADWAY, 44TH FLOOR
City-State-Zip: NEW YORK NY 10005

Title MGR
Name GRANT, THOMAS W
Address 140 BROADWAY, 44TH FLOOR
City-State-Zip: NEW YORK NY 10005

Title MGR
Name GULDEN, PAUL IJR.
Address 140 BROADWAY, 44TH FLOOR
City-State-Zip: NEW YORK NY 10005

Title MGR
Name MCFADDEN, WILLIAM JAMESON
Address 140 BROADWAY, 44TH FLOOR
City-State-Zip: NEW YORK NY 10005

Title MGR
Name SHADEK, LAURENCE A.
Address 140 BROADWAY - 44TH FLOOR
City-State-Zip: NEW YORK NY 10005

Title AUTHORIZED MEMBER
Name WELLINGTON SHIELDS HOLDINGS LLC
Address 140 BROADWAY, 44TH FLOOR
City-State-Zip: NEW YORK NY 10005

Title MANAGER
Name CURCURU, PHILIP M
Address 140 BROADWAY, 44TH FLOOR
City-State-Zip: NEW YORK NY 10005

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: PHILIP M CURCURU

MGR

04/28/2021

Electronic Signature of Signing Authorized Person(s) Detail

Date