2015 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M05000006385

Entity Name: PERSHING ADVISOR SOLUTIONS LLC

Current Principal Place of Business:

ONE PERSHING PLAZA 4TH FLOOR JERSEY CITY, NJ 07399 FILED Apr 10, 2015 Secretary of State CC2286226279

Current Mailing Address:

ONE PERSHING PLAZA 4TH FLOOR JERSEY CITY, NJ 07399

FEI Number: 83-0437353 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301-2525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Authorized Person(s) Detail:

Title CEO Title COO

Name TIBERGIEN, MARK C Name NOVAK, KAREN N

Address ONE PERSHING PLAZA, 4TH FLOOR Address ONE PERSHING PLAZA, 4TH FLOOR

City-State-Zip: JERSEY CITY NJ 07399 City-State-Zip: JERSEY CITY NJ 07399

Title MGRM Title AUTHORIZED MEMBER

Name DECICCO, RONALD Name DOLLY, LISA A

Address ONE PERSHING PLAZA

City-State-Zip: JERSEY CITY NJ 07399

Address ONE PERSHING PLAZA

City-State-Zip: JERSEY CITY NJ 07399

Title **AUTHORIZED MEMBER** Title AUTHORIZED MEMBER Name HOPKINS, DAVID R Name SANTANIELLO, CLAIRE H. Address ONE PERSHING PLAZA Address ONE PERSHING PLAZA JERSEY CITY NJ 07399 City-State-Zip: City-State-Zip: JERSEY CITY NJ 07399

TitleAUTHORIZED MEMBERTitleAUTHORIZED MEMBERNameTAYLOR, KEVIN Z.NameHARRISON, BENJAMIN W.

Address ONE PERSHING PLAZA Address ONE PERSHING PLAZA

4TH FLOOR

City-State-Zip: JERSEY CITY NJ 07399 City-State-Zip: JERSEY CITY NJ 07399

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: MARK C. TIBERGIEN

4TH FLOOR

CHIEF EXECUTIVE OFFICER

04/10/2015