2014 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M0400005703

Entity Name: CRI SECURITIES, LLC

Current Principal Place of Business:

400 ROBERT STREET NORTH

ST PAUL. MN 55101

FILED Apr 01, 2014 Secretary of State CC5872335710

Current Mailing Address:

400 ROBERT STREET NORTH ST PAUL, MN 55101

FEI Number: 41-1612506 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Authorized Person(s) Detail:

Title MGRM Title MGR

Name RICHARDS, PHILLIP C Name ZACCARO, WARREN

Address 2701 UNIVERSITY AVENUE Address 400 ROBERT STREET NORTH

City-State-Zip: MINNEAPOLIS MN 55414 City-State-Zip: ST PAUL MN 55101

Title MGR Title MGR

Name CONNOLLY, GEORGE I Name WILSON, LOYALL E

Address 400 ROBERT STREET NORTH Address 400 ROBERT STREET NORTH

City-State-Zip: ST PAUL MN 55101 City-State-Zip: ST. PAUL MN 55101

Title MGR

Name CARPENTER, KIMBERLY K
Address 400 ROBERT STREEET N
City-State-Zip: ST. PAUL MN 55101

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: KIMBERLY K CARPENTER

MGR

04/01/2014