2020 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

FILED
May 29, 2020
Secretary of State
8690058339CC

DOCUMENT# M04000000290

Entity Name: AXOS CLEARING LLC

Current Principal Place of Business:

1200 LANDMARK CENTER
1299 FARNAM STREET SUITE 800
OMAHA, NE 68102-1916

Current Mailing Address:

1200 LANDMARK CENTER
1299 FARNAM STREET SUITE 800
OMAHA, NE 68102-1916 US

FEI Number: NOT APPLICABLE

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent — Date

Authorized Person(s) Detail :

Title	PRESIDENT	Title	CEO
Name	SALAS, CARLOS	Name	FRANKEL, CHRIS
Address	1200 LANDMARK CENTER 1299 FARNAM STREET SUITE 800	Address	1200 LANDMARK CENTER 1299 FARNAM STREET SUITE 800
City-State-Zip:	OMAHA NE 68102-1916	City-State-Zip:	OMAHA NE 68102-1916
Title	MANAGER	Title	GENERAL COUNSEL
Name	SIME, JEFF	Name	ARNET, TRES
Address	1200 LANDMARK CENTER 1299 FARNAM STREET SUITE 800	Address	1200 LANDMARK CENTER 1299 FARNAM STREET SUITE 800
City-State-Zip:	OMAHA NE 68102-1916	City-State-Zip:	OMAHA NE 68102-1916
Title	CFO	Title	MEMBER
Name	SIME, JEFF	Name	COR SECURITIES HOLDINGS INC
Address	1200 LANDMARK CENTER 1299 FARNAM STREET SUITE 800	Address	233 WILSHIRE BLVD #830
City-State-Zip:	OMAHA NE 68102-1916	City-State-Zip:	SANTA MONICA CA 90401

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JEFF SIME — CHIEF FINANCIAL OFFICER — 05/29/2020

Electronic Signature of Signing Authorized Person(s) Detail — Date