2021 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

FILED
Apr 18, 2021
Secretary of State
6227840017CC

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Entity Name: UBS SECURITIES LLC

Current Principal Place of Business:

1285 AVENUE OF THE AMERICAS
NEW YORK, NY 10019

Current Mailing Address:

1285 AVENUE OF THE AMERICAS
NEW YORK, NY 10019 US

FEI Number: 13-3873456

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent — Date

Authorized Person(s) Detail :

Title	AUTHORIZED MEMBER
Name	MOLINARO, SAMUEL
Address	600 WASHINGTON BLVD.
City-State-Zip:	STAMFORD CT 06901

Title	AUTHORIZED REPRESENTATIVE
Name	HECKLER, MARGARET
Address	600 WASHINGTON BLVD.
City-State-Zip:	STAMFORD CT 06901

Title	AUTHORIZED MEMBER
Name	CAPANNA, DEREK
Address	1285 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10019

Title	AUTHORIZED MEMBER
Name	ROY, DYLAN
Address	1285 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10019

Title	AUTHORIZED MEMBER
Name	VAN TASSEL, JAMES
Address	1285 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10019

Title	AUTHORIZED MEMBER
Name	MATTONE, RALPH
Address	1285 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10019

Title	AUTHORIZED MEMBER
Name	MUNFA, LAUREN
Address	1285 AVENUE OF THE AMERICAS
City-State-Zip:	NEW YORK NY 10019

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: MARGARET HECKLER — AUTHORIZED REPRESENTATIVE — 04/18/2021

Electronic Signature of Signing Authorized Person(s) Detail — Date