

2014 FOREIGN LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M02000002814

Entity Name: UBS SECURITIES LLC**Current Principal Place of Business:**677 WASHINGTON BLVD.
STAMFORD, CT 06901**Current Mailing Address:**677 WASHINGTON BLVD.
STAMFORD, CT 06901**FEI Number:** 13-3873456**Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**_____
Electronic Signature of Registered Agent_____
Date**Authorized Person(s) Detail :**

Title	MGR
Name	BLEUSTEIN, DAVID
Address	677 WASHINGTON BLVD.
City-State-Zip:	STAMFORD CT 06901

Title	MGR
Name	CANAVAN , PATRICK
Address	677 WASHINGTON BLVD.
City-State-Zip:	STAMFORD CT 06901

Title	MGR
Name	OLSEN, REINHARDT
Address	677 WASHINGTON BLVD.
City-State-Zip:	STAMFORD CT 06901

Title	MGR
Name	CUMMINGS, STEPHEN
Address	677 WASHINGTON BLVD.
City-State-Zip:	STAMFORD CT 06901

Title	MGR
Name	ARNOLD, KEVIN
Address	677 WASHINGTON BLVD.
City-State-Zip:	STAMFORD CT 06901

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 605, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: PATRICK CANAVAN

MGR

03/19/2014

Electronic Signature of Signing Authorized Person(s) Detail_____
Date