2016 FLORIDA PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P03000038176

Entity Name: PENSON ASSOCIATES, INC.

Current Principal Place of Business:

2810 REMINGTON GREEN CIRCLE TALLAHASSEE. FL 32308

Current Mailing Address:

P.O. BOX 12802

PALM DESERT. CA 92255 US

FEI Number: 03-0513132 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

PENSON, ALBERT 2810 REMINGTON GREEN CIRCLE TALLAHASSEE, FL 32308 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

FILED May 25, 2016

Secretary of State

CC4728099907

Officer/Director Detail:

Title CEO Title PRES

NameRINELLA, SALNameMOORE, JOHN WDR.AddressP.O. BOX 12802Address1634 MONARCH DRIVECity-State-Zip:PALM DESERT CA 92255City-State-Zip:VENICE FL 34293

Title VP Title VP

NameCORMIER, PATRICIANameRENO, STEPHENAddress237 STABLE ROADAddress2124 ELM STREET

City-State-Zip: CARRBORO NC 27510 City-State-Zip: MANCHESTER NH 03104

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: PATRICIA CORMIER

Electronic Signature of Signing Officer/Director Detail

VΡ

05/25/2016 Date