

2014 FLORIDA PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P03000038176

Entity Name: PENSON ASSOCIATES, INC.

Current Principal Place of Business:

1435 E. PIEDMONT DRIVE
SUITE 101
TALLAHASSEE, FL 32308

Current Mailing Address:

P.O. BOX 12802
PALM DESERT, CA 92255 US

FEI Number: 03-0513132

Certificate of Status Desired: No

Name and Address of Current Registered Agent:

PENSON, ALBERT
2810 REMINGTON GREEN CIRCLE
TALLAHASSEE, FL 32308 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Officer/Director Detail :

Title CEO
Name RINELLA, SAL
Address P.O. BOX 12802
City-State-Zip: PALM DESERT CA 92255

Title PRES
Name MOORE, JOHN WDR.
Address 1634 MONARCH DRIVE
City-State-Zip: VENICE FL 34293

Title VP
Name CORMIER, PATRICIA
Address 237 STABLE ROAD
City-State-Zip: CARRBORO NC 27510

Title VP
Name RENO, STEPHEN
Address 2124 ELM STREET
City-State-Zip: MANCHESTER NH 03104

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: SAL RINELLA

CEO

04/21/2014

Electronic Signature of Signing Officer/Director Detail

Date