2021 FLORIDA PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 444750

Entity Name: RAYMOND JAMES FINANCIAL, INC.

Current Principal Place of Business:

880 CARILLON PARKWAY ST. PETERSBURG, FL 33716

Current Mailing Address:

880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 US

FEI Number: 59-1517485 Certificate of Status Desired: No

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Date Electronic Signature of Registered Agent

Officer/Director Detail :

Title Title CHIEF ANTI-BRIBERY/ANTI-

CORRUPTION OFFICER

FILED Apr 15, 2021

Secretary of State

9053078989CC

Name JULIEN, JEFFREY P. VAN DOORN, KIM Y. Name Address 880 CARILLON PARKWAY

880 CARILLON PARKWAY Address

City-State-Zip: ST. PETERSBURG FL 33716 City-State-Zip: ST. PETERSBURG FL 33716

Title DIRECTOR

Name

Title SENIOR VICE PRESIDENT AND Name DEBEL, MARLENE

CONTROLLER

Name OORLOG, JONATHAN W. JR. 880 CARILLON PARKWAY Address

ST. PETERSBURG FL 33716 Address 880 CARILLON PARKWAY City-State-Zip:

ST. PETERSBURG FL 33716 City-State-Zip: Title CHIEF AUDIT EXECUTIVE

Title COO Name HAYNES-MORGAN, TJ

Name DOWDLE, JEFFREY A. Address 880 CARILLON PARKWAY

Address 880 CARILLON PARKWAY City-State-Zip: ST. PETERSBURG FL 33716 City-State-Zip: ST. PETERSBURG FL 33716

Title CHIEF FINANCIAL OFFICER AND

TREASURER Title

EXECUTIVE OFFICER SHOUKRY, PAUL M. Name SHOUKRY, PAUL M.

880 CARILLON PARKWAY Address Address 880 CARILLON PARKWAY

ST. PETERSBURG FL 33716 City-State-Zip: City-State-Zip: ST. PETERSBURG FL 33716

Continues on page 2

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

04/15/2021 SIGNATURE: THOMAS A. JAMES DIRECTOR

Electronic Signature of Signing Officer/Director Detail

Date

Officer/Director Detail Continued:

Title Title DIRECTOR **CHAIRMAN EMERITUS** Name SESHADRI, RAJ Name JAMES, THOMAS A. 880 CARILLON PARKWAY 880 CARILLON PARKWAY Address Address City-State-Zip: ST. PETERSBURG FL 33716 City-State-Zip: ST. PETERSBURG FL 33716 Title ASSISTANT SECRETARY Title ASSISTANT SECRETARY Name BAHR, KARY G. Name SERBANOS, E. MICHAEL Address 880 CARILLON PARKWAY 880 CARILLON PARKWAY Address City-State-Zip: ST. PETERSBURG FL 33716 ST. PETERSBURG FL 33716 City-State-Zip: Title VC ASSISTANT SECRETARY Title TROCIN, JEFFREY E. Name JAMES, CANDI Name Address 880 CARILLON PARKWAY Address 880 CARILLON PARKWAY ST. PETERSBURG FL 33716 City-State-Zip: City-State-Zip: ST. PETERSBURG FL 33716 Title **ENTITY MANAGER** AUTHORIZED ASSOCIATE Title Name DOYLE, JONATHAN J. Name SHOUKRY, PAUL M. Address 880 CARILLON PARKWAY Address 880 CARILLON PARKWAY City-State-Zip: ST. PETERSBURG FL 33716 City-State-Zip: ST. PETERSBURG FL 33716 Title **EXECUTIVE OFFICER** Title **DIRECTOR** Name PERRY, JODI Name DUTKOWSKY, ROBERT M. Address 880 CARILLON PARKWAY Address 880 CARILLON PARKWAY City-State-Zip: ST. PETERSBURG FL 33716 City-State-Zip: ST. PETERSBURG FL 33716 Title **EXECUTIVE OFFICER** Title DIRECTOR Name BUNN, JAMES E. Name GATES, ANNE Address 880 CARILLON PARKWAY Address 880 CARILLON PARKWAY City-State-Zip: ST. PETERSBURG FL 33716 City-State-Zip: ST. PETERSBURG FL 33716 **EXECUTIVE OFFICER** Title EVP, GENERAL COUNSEL AND SECRETARY Title Name SANTELLI, JONATHAN N. Name SANTELLI, JONATHAN N. Address 880 CARILLON PARKWAY 880 CARILLON PARKWAY Address City-State-Zip: ST. PETERSBURG FL 33716 City-State-Zip: ST. PETERSBURG FL 33716 Title DIRECTOR Title CHIEF ANTI-MONEY LAUNDERING OFFICER Name MCGEARY, RODERICK C. MOLLOY, ROBERT J. Name Address 880 CARILLON PARKWAY 880 CARILLON PARKWAY Address City-State-Zip: ST. PETERSBURG FL 33716 ST. PETERSBURG FL 33716 City-State-Zip: Title **EXECUTIVE OFFICER** VP, ASSISTANT GENERAL COUNSEL, Title Name CURTIS, SCOTT A. ASSISTANT SECRETARY Address 880 CARILLON PARKWAY Name DOYLE, JONATHAN J. City-State-Zip: ST. PETERSBURG FL 33716 Address 880 CARILLON PARKWAY City-State-Zip: ST. PETERSBURG FL 33716 Title **DIRECTOR** EDWARDS, JEFFREY N. Title

Name **EXECUTIVE OFFICER**

ELWYN, TASHTEGO S.

Name

ST. PETERSBURG FL 33716 City-State-Zip: 880 CARILLON PARKWAY Address ST. PETERSBURG FL 33716 City-State-Zip:

Address

880 CARILLON PARKWAY

Title **PRESIDENT**

Name CARSON, JOHN C. JR. Title DIRECTOR 880 CARILLON PARKWAY Address Name ESTY, BENJAMIN C.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title EXECUTIVE OFFICER

Name CARSON, JOHN C. JR.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title EXECUTIVE OFFICER

Name ALLAIRE, BELLA LOYKHTER
Address 880 CARILLON PARKWAY
City-State-Zip: ST. PETERSBURG FL 33716

Title CHAIRMAN & CEO Name REILLY, PAUL C.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title EXECUTIVE OFFICER
Name ALLISON, PAUL D.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title EXECUTIVE OFFICER

Name RANEY, STEVEN M.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title DIRECTOR

Name STORY, SUSAN N.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title VC

Name GODBOLD, FRANCIS S.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title DIRECTOR

Name GODBOLD, FRANCIS S.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

City-State-Zip: ST. PETERSBURG FL 33716

Title EXECUTIVE OFFICER

Name DOWDLE, JEFFREY A.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title DIRECTOR

Name JOHNSON, GORDON L.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title EXECUTIVE OFFICER
Name REILLY, PAUL C.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title EXECUTIVE OFFICER

Name CATANESE, GEORGE

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title EXECUTIVE OFFICER
Name JAMES, THOMAS A.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716

Title CHIEF RISK OFFICER
Name CATANESE, GEORGE
Address 880 CARILLON PARKWAY
City-State-Zip: ST. PETERSBURG FL 33716

Title DIRECTOR

Name REILLY, PAUL C.

Address 880 CARILLON PARKWAY
City-State-Zip: ST. PETERSBURG FL 33716

Title DIRECTOR

Name JAMES, THOMAS A.

Address 880 CARILLON PARKWAY

City-State-Zip: ST. PETERSBURG FL 33716