2023 FLORIDA PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 287814

Entity Name: SECURITIES MANAGEMENT AND RESEARCH, INC.

Current Principal Place of Business:

4201 42ND ST. NE SUITE 100 CEDAR RAPIDS, IA 52402

Current Mailing Address:

4201 42ND ST. NE SUITE 100 CEDAR RAPIDS, IA 52402 US

FEI Number: 59-1145041

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301-2525 US Certificate of Status Desired: No

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Officer/Director Detail :

Title	CEO, PRESIDENT, DIRECTOR	Title	SECRETARY
Name	BERTHEL, THOMAS J.	Name	SCHAUL, JOANNA
Address	4201 42ND ST. NE SUITE 100	Address	4201 42ND ST. NE SUITE 100
City-State-Zip	CEDAR RAPIDS IA 52402	City-State	e-Zip: CEDAR RAPIDS IA 52402
Title	TREASURER, DIRECTOR	Title	DIRECTOR
Name	RUPP, BRIAN	Name	MURPHY, RICK
Address	4201 42ND ST. NE SUITE 100	Address	4201 42ND ST. NE SUITE 100
City-State-Zip	CEDAR RAPIDS IA 52402	City-State	e-Zip: CEDAR RAPIDS IA 52402
Title	DIRECTOR		
Name	CHRISTOFFERSON, ANDY		
Address	4201 42ND ST. NE SUITE 100		

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JOANNA SCHAUL

City-State-Zip: CEDAR RAPIDS IA 52402

SECRETARY

03/13/2023

Electronic Signature of Signing Officer/Director Detail

FILED Mar 13, 2023 Secretary of State 9837029194CC

Date