

2019 FLORIDA PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# 287814

Entity Name: SECURITIES MANAGEMENT AND RESEARCH, INC.**Current Principal Place of Business:**4201 42ND ST. NE
SUITE 100
CEDAR RAPIDS, IA 52410**Current Mailing Address:**4201 42ND ST. NE
SUITE 100
CEDAR RAPIDS, IA 52410 US**FEI Number: 59-1145041****Certificate of Status Desired:** No**Name and Address of Current Registered Agent:**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525 US*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.***SIGNATURE:**_____
Electronic Signature of Registered Agent_____
Date**Officer/Director Detail :**

Title DIRECTOR, CEO, PRESIDENT,
CHAIRMAN OF THE BOARD
Name BERTHEL, THOMAS J.
Address 4201 42ND ST. NE
SUITE 100
City-State-Zip: CEDAR RAPIDS IA 52410

Title DIRECTOR
Name WEGMANN, DANIEL P.
Address 4201 42ND ST. NE
SUITE 100
City-State-Zip: CEDAR RAPIDS IA 52410

Title VP
Name DAVENPORT, SHELLEY
Address 4201 42ND ST. NE
SUITE 100
City-State-Zip: CEDAR RAPIDS IA 52410

Title SECRETARY
Name SCHAUL, JOANNA
Address 4201 42ND ST. NE
SUITE 100
City-State-Zip: CEDAR RAPIDS IA 52410

Title CFO, TREASURER, DIRECTOR
Name BRENDENGEN, RONALD O.
Address 4201 42ND ST. NE
SUITE 100
City-State-Zip: CEDAR RAPIDS IA 52410

Title VP, CONTROLLER
Name RUPP, BRIAN
Address 4201 42ND ST. NE
SUITE 100
City-State-Zip: CEDAR RAPIDS IA 52410

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JOANNA SCHAUL**SECRETARY****01/21/2019**_____
Electronic Signature of Signing Officer/Director Detail_____
Date