

# 2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P98000003159

FILED  
Apr 06, 2011  
Secretary of State

Entity Name: VFINANCE INVESTMENTS, INC.

**Current Principal Place of Business:**

1200 NORTH FEDERAL HIGHWAY  
SUITE 400  
BOCA RATON, FL 33432 US

**New Principal Place of Business:**

**Current Mailing Address:**

1200 NORTH FEDERAL HIGHWAY  
SUITE 400  
BOCA RATON, FL 33432 US

**New Mailing Address:**

FEI Number: 65-0834063      FEI Number Applied For ( )      FEI Number Not Applicable ( )      Certificate of Status Desired ( )

**Name and Address of Current Registered Agent:**

LEVIN, ALAN CFO  
1200 NORTH FEDERAL HIGHWAY  
SUITE 400  
BOCA RATON, FL 33432 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**OFFICERS AND DIRECTORS:**

Title: DIR  
Name: GOLDWASSER, MARK  
Address: 120 BROADWAY, SUITE 2800  
City-St-Zip: NEW YORK, NY 10271

Title: CEO  
Name: GROENEVELD, WILLIAM  
Address: 1200 NORTH FEDERAL HIGHWAY, SUITE 400  
City-St-Zip: BOCA RATON, FL 33432

Title: CFO  
Name: LEVIN, ALAN B  
Address: 1200 NORTH FEDERAL HIGHWAY, SUITE 400  
City-St-Zip: BOCA RATON, FL 33432

Title: SEC  
Name: ROTH, MARK  
Address: 1001 FOURTH AVENUE, SUITE 2200  
City-St-Zip: SEATTLE, WA 98154

Title: CCO  
Name: JOHNSON, KAY  
Address: 1001 4TH AVENUE SUITE 2200  
City-St-Zip: SEATTLE, WA 98154

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ALAN B. LEVIN

\_\_\_\_\_  
Electronic Signature of Signing Officer or Director

CFO

04/06/2011

\_\_\_\_\_  
Date