

2001 UNIFORM BUSINESS REPORT (UBR)

FILED
May 03, 2001 8:00 am
Secretary of State

05-03-2001 90062 006 ***150.00

DOCUMENT # 803287
 1. Entity Name
NEW YORK LIFE INSURANCE COMPANY

| | |
|---|---|
| Principal Place of Business 51 MADISON AVENUE NEW YORK NY 10010 | Mailing Address 51 MADISON AVENUE NEW YORK NY 10010 |
|---|---|

| | | | |
|--------------------------------|---------------------|-----|---------|
| 2. Principal Place of Business | 3. Mailing Address | | |
| Suite, Apt. #, etc. | Suite, Apt. #, etc. | | |
| City & State | City & State | | |
| Zip | Country | Zip | Country |



DO NOT WRITE IN THIS SPACE

| | |
|---|---------------------------------------|
| 4. FEI Number 13-5582869 | Applied For |
| | Not Applicable |
| 5. Certificate of Status Desired <input type="checkbox"/> | \$8.75 Additional Fee Required |

| | |
|---|--|
| 6. Name and Address of Current Registered Agent | 7. Name and Address of New Registered Agent |
| TREASURER & INSURANCE COMMISSIONER FLORIDA DEPARTMENT OF INSURANCE 200 EAST GAINES STREET TALLAHASSEE FL 32399-6562 | Name |
| | Street Address (P.O. Box Number is Not Acceptable) |
| | City |
| | FL Zip Code |

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____ DATE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)

| | | |
|---|---|--|
| 9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) <input type="checkbox"/> | FILE NOW!!! FEE IS \$150.00 After MAY 1, 2001 Fee will be \$550.00 Make Check Payable to Department of State | 10. Election Campaign Financing Trust Fund Contribution. <input type="checkbox"/> \$5.00 May Be Added to Fees |
|---|---|--|

| 11. OFFICERS AND DIRECTORS | | 12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 | |
|--|--|---|---|
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | VT CALHOUN, JAY S III 51 MADISON AVENUE NEW YORK NY 10010 <input type="checkbox"/> Delete | TITLE NAME STREET ADDRESS CITY-ST-ZIP | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | PDC STERNBERG, SEYMOUR 51 MADISON AVE NEW YORK NY 10010 <input type="checkbox"/> Delete | TITLE NAME STREET ADDRESS CITY-ST-ZIP | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | VS TRAPP, GEORGE J 51 MADISON AVE NEW YORK NY 10010 <input type="checkbox"/> Delete | TITLE NAME STREET ADDRESS CITY-ST-ZIP | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | D DOUGLAS, PAUL W 51 MADISON AVENUE NEW YORK NY 10010 <input type="checkbox"/> Delete | TITLE NAME STREET ADDRESS CITY-ST-ZIP | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | D GELB, RICHARD L 51 MADISON AVENUE NEW YORK NY 10010 <input type="checkbox"/> Delete | TITLE NAME STREET ADDRESS CITY-ST-ZIP | <input type="checkbox"/> Change <input type="checkbox"/> Addition |
| TITLE NAME STREET ADDRESS CITY-ST-ZIP | D YOUNG, MARGARET B 51 MADISON AVENUE NEW YORK NY 10010 <input type="checkbox"/> Delete | TITLE NAME STREET ADDRESS CITY-ST-ZIP | <input type="checkbox"/> Change <input type="checkbox"/> Addition |

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Richard Levy **Richard Levy** April 25, 2001
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034 (10/00)

Attachment

968166

NEW YORK LIFE INSURANCE COMPANY

(Incorporated New York, 1841)

803287

ADDITIONAL DIRECTORS

| | |
|------------------------------|---------------------------------------|
| Betty C. Alewine | 51 Madison Avenue, New York, NY 10010 |
| Gary Gideon Benanav | 51 Madison Avenue, New York, NY 10010 |
| Robert Montague Baylis | 51 Madison Avenue, New York, NY 10010 |
| James Lowell Broadhead | 51 Madison Avenue, New York, NY 10010 |
| William Gilbert Burns | 51 Madison Avenue, New York, NY 10010 |
| Patricia Theresa Carbine | 51 Madison Avenue, New York, NY 10010 |
| Kent Bernard Foster | 51 Madison Avenue, New York, NY 10010 |
| Conrad Kenneth Harper | 51 Madison Avenue, New York, NY 10010 |
| Richard Michael Kernan, Jr. | 51 Madison Avenue, New York, NY 10010 |
| Leslie Gladstone McGraw, Jr. | 51 Madison Avenue, New York, NY 10010 |
| David Windsor Mitchell | 51 Madison Avenue, New York, NY 10010 |
| Richard R. Pivirotto | 51 Madison Avenue, New York, NY 10010 |
| Frederick J. Sievert | 51 Madison Avenue, New York, NY 10010 |
| Seymour Sternberg | 51 Madison Avenue, New York, NY 10010 |

DIRECTORS EMERITI

| | |
|--------------------------|---------------------------------------|
| William Robert Grant | 51 Madison Avenue, New York, NY 10010 |
| Harry George Hohn | 51 Madison Avenue, New York, NY 10010 |
| Thomas W. Langfitt, M.D. | 51 Madison Avenue, New York, NY 10010 |
| William A. Marquard | 51 Madison Avenue, New York, NY 10010 |
| George B. Munroe | 51 Madison Avenue, New York, NY 10010 |

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DIRECTORS EMERITI

Robert J. Richardson

51 Madison Avenue, New York, NY 10010

Donald K. Ross

51 Madison Avenue, New York, NY 10010

#803287

George L. Shinn

51 Madison Avenue, New York, NY 10010

Attachment

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ADDITIONAL OFFICERS

Seymour Sternberg
*Chairman of Board,
President and Chief
Executive Officer*

51 Madison Avenue, New York, NY 10010

Frederick J. Sievert
Vice Chairman

51 Madison Avenue, New York, NY 10010

Howard I. Atkins
*Executive Vice President
and Chief Financial Officer*

51 Madison Avenue, New York, NY 10010

Gary G. Benanav
*Vice Chairman and Chairman
and Chief Executive Officer of New York
Life International, Inc.*

51 Madison Avenue, New York, NY 10010

Richard M. Kernan, Jr.
*Executive Vice President
and Chief Investment Officer*

51 Madison Avenue, New York, NY 10010

George J. Trapp
*Executive Vice President
and Secretary*

51 Madison Avenue, New York, NY 10010

Frank M. Boccio
Senior Vice President

51 Madison Avenue, New York, NY 10010

Jay S. Calhoun, III
*Senior Vice President
And Treasurer*

51 Madison Avenue, New York, NY 10010

Judith E. Campbell
*Senior Vice President
and Chief Information Officer*

51 Madison Avenue, New York, NY 10010

Jessie Morse Colgate
Senior Vice President

51 Madison Avenue, New York, NY 10010

Attachment

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ADDITIONAL OFFICERS CONTINUES

Sheila K. Davidson
*Senior Vice President and
General Counsel*

51 Madison Avenue, New York, NY 10010

Solomon Goldfinger
*Senior Vice President, Chief
of Staff and Chief Financial
Officer-Individual Operation*

51 Madison Avenue, New York, NY 10010

Phillip J. Hildebrand
Executive Vice President

51 Madison Avenue, New York, NY 10010

Richard D. Levy
*Senior Vice President
and Controller*

51 Madison Avenue, New York, NY 10010

Stephen N. Steinig
*Senior Vice President
and Chief Actuary*

51 Madison Avenue, New York, NY 10010

Thomas J. Warga
*Senior Vice President
and General Auditor*

51 Madison Avenue, New York, NY 10010