

T98000001291

Requestor's Name _____

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City/State/Zip _____ Phone # _____

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 -10/01/98--01029--004
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Office Use Only

CORPORATION NAME(S) & DOCUMENT NUMBER(S), (if known):

1. FAS 1 (36) (Corporation Name) (Document #)
2. 789/680/761/671 (Corporation Name) (Document #)
3. _____ (Corporation Name) (Document #)
4. _____ (Corporation Name) (Document #)

Delaware corp not qualified

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<input type="checkbox"/>	Amendment
<input type="checkbox"/>	Resignation of R.A., Officer/ Director
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<input type="checkbox"/>	Dissolution/Withdrawal
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OTHER FILINGS	
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T98-1291
~~1098-22557~~

Name Availability	<i>up</i>
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FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State

October 2, 1998

ROBERT L. SONFIELD, JR., ESQ.
SONFIELD & SONFIELD
770 SOUTH POST OAK LANE, SUITE 435
HOUSTON, TX 77056-1913

SUBJECT: FAS 1
Ref. Number: W98000022557

We have received your document for FAS 1 and your check(s) totaling \$87.50. However, the enclosed document has not been filed and is being returned for the following correction(s):

Because this office is responsible for administering various chapters of the Florida Statutes in addition to Chapter 495, F.S., every business entity listed as the owner/applicant of a mark must have an active registration/filing on file with this office before this filing can be completed. Therefore, we are enclosing the appropriate instructions and/or forms for your convenience.

The specimens provided this office are not acceptable; we need three permanent specimens, which may be the same or different. We do not accept photocopies or camera ready copies. We do not accept specimens that have been altered or defaced in any manner. We will accept labels, decals or tags that are affixed to the actual goods or products. We will accept three LEGIBLE photographs of the goods or products with the specimens affixed. If this is some kind of publication, newspaper, magazine, or column, we need three of the actual publications. We need specimens for each class of registration.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6918.

Nanette Causseaux
Corporate Specialist Supervisor

Letter Number: 698A00049302

SONFIELD & SONFIELD

A Professional Corporation

LEON SONFIELD (1865-1934)
GEORGE M. SONFIELD (1899-1967)
ROBERT L. SONFIELD (1893-1972)

ATTORNEYS AT LAW

770 SOUTH POST OAK LANE
HOUSTON, TEXAS 77056-1913
RSONFIELD@COMPUSERVE.COM

NEW YORK
LOS ANGELES
WASHINGTON, D.C.

FRANKLIN D. ROOSEVELT, JR. (1914-1988)

Telecopier (713) 877-1547

ROBERT L. SONFIELD, JR.
Managing Director

Telephone (713) 877-8333


November 5, 1998

Ms. Nanette Causseaux
Corporate Specialist Supervisor
Florida Department of State
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Pursuant to Section 607.1501(2)(k) FAS Group, Inc. is not transacting business in the State of Florida. FAS Wealth Management Services, Inc. is a wholly owned subsidiary of FAS Group, Inc. The wholly-owned subsidiary uses the mark which has been submitted for registration.

If you have further comments or questions please call me at the above number.

Yours very truly,



Robert L. Sonfield, Jr.
Managing Director

RLSjr/lido

APPLICATION FOR THE REGISTRATION OF A TRADEMARK OR SERVICE MARK
PURSUANT TO CHAPTER 495, FLORIDA STATUTES

TO: Division of Corporations
Post Office Box 6327
Tallahassee, FL 32314

Name & address to whom acknowledgment should be sent:

Robert L. Sonfield, Jr., Esq.
Sonfield & Sonfield
770 Sout Post Oak Lane, Suite 435
Houston, Texas 77056-1913
(713) 877-8333
Daytime Telephone number

PART I

1. (a) Applicant's name: FAS Group, Inc.

(b) Applicant's business address: 14358 Golden Sunset

Poway, California 92064
City/State/Zip

(c) Applicant's telephone number: (619) 486-4955

Individual Corporation Joint Venture Other:
 General Partnership Limited Partnership Union

If other than an individual,

(1) Florida registration number: _____ (2) Domicile State: Delaware

(3) Federal Employer Identification Number: 74-2883579

2. (a) If the mark to be registered is a service mark, the services in connection with which the mark is used:
(i.e., furniture moving services, diaper services, house painting services, etc.)

N/A

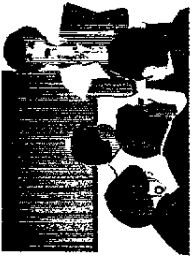
(b) If the mark to be registered is a trademark, the goods in connection with which the mark is used:
(i.e., ladies sportswear, cat food, barbecue grills, shoe laces, etc.)

Investment Banking and Independent Securities Brokerage

(c) The mode or manner in which the mark is used:(i.e., labels, decals, newspaper advertisements, brochures, etc.)

Letterheads, business cards, webpage (in process) and future
prospectuses for underwritings.

(Continued)



BACK OFFICE EXPERTISE

Our back office staff offers a combined 70 years experienced in supervision and operations. With a Complete Due Diligence department and a Compliance Department which work closely with our regulatory bodies, you can feel confident in having your answers a simple call away. Annually, our local and national representatives attend our product sponsored Compliance Seminars to encourage the continued education of ever-changing compliance and market issues. For those representatives who are strictly of independent or satellite office status, our back office acts as liaison for telephone order entry and expeditious reporting. FASW's support personnel are both licensed and experienced to assist you, and in your absence, your clients. Our team of professionals can tailor levels of service to meet your individual needs.

ABOUT OUR PRESIDENT

Guy S. Della Penna purchased FAS Wealth Management Services, Inc. in 1989. Mr. Della Penna has been a Sarasota resident since 1980 and has been active in the financial industry for 20 years. From 1977 through April 1978, Mr. Della Penna trained in the underwriting and secondary market trading of municipal bonds at Werthem and Co., Inc. in New York, New York, and from 1978 through February 1980 was an investment banker with Lehman Brothers.

From 1980 to 1986, Mr. Della Penna served as Assistant to the Chairman of the Board of Snelling & Snelling, Inc. He holds a Bachelor of Science degree in Business Administration from Ithaca College, Ithaca, NY and received a Master of Business Administration degree in Finance from the State University of New York, Albany, NY.

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WEALTH MANAGEMENT
SERVICES, INC.

Member NASD/SIPC

AN INTRODUCTION FOR
ACCOUNT EXECUTIVES

2323 Stickney Point Road
Sarasota, Florida 34231
(941) 921-9700
(800) 393-8880