Carlton, Fields, Ward, Emmanuel, Smith & Cutler, P. A. ATTORNEYS AT LAW STATE TORER EMPERANTE" DANNETT TOWER FIRST FLORIDA BAHR BUILDING 0.804.1121 FO 005 180 en not le lu e () bús (\$446) PILLOHAWER HIGH PO BOLEMAI PENBACOLA, FLORILIA JERRA O FEORIGA JANUA TALLAHARSEE, PLOMDA JP, ROF WEST PACH BEACH, FLORIDA JOACH ILTEL ADMOST DISUBBISH TO IGNILE ACHIEST LANGE 100414144148 CHASE RAN FORMS 4071 BAN 0 300 (004) ##4-HIBB 14071089-7070 (613) #21-7000 (А) инагиянатын *AK (407) 889-7386 FAI (8) (3) ### 4) 11 d (du)) nan waa 7000 00033 January 17, 1995 Florida Secretary of State 01/23/95-01035--003 Florida Department of State Division of Corporations ******87,50 ********87,50 Post Office Box 6327 Tallahassee, Florida 32314 Attn: Service Mark Application Re: Transmittal of Application for Registration of a Services Mark Mark: Specialist and Design of Stylized Letters, With Letters "LIST" Slanted, Above Diagonal Mosaic Pattern Resembling Tire Treads that is comprised of Silhouetted Automobiles Class: 35 Dear Madam or Sir: Enclosed for filing is an original and one copy of an Application for Registration of a Service Mark for the above referenced mark in Class 35. Also enclosed are three specimens of the mark and a check in the amount of \$87.50 for the filing fee. Respectfully submitteds: Name 189 751/708/672 Availability Andrew C. Greenberg Document NJC Exacyffier ACG/ar **E**pdater Enclosures NJC 1, 127, 881 11/27/79 cc: Mr. Ronald P. Chenoweth Updater Mr. Kenneth Baker NJC Verifyer Acknowledgement NJC W. P. Verityer NJC



January 30, 1995

Andrew C. Greenberg, Esquire Carlton, Fields, et al. P.O. Box 3239 Tampa, FL 33601

SUBJECT: SPECIALIST AND DESIGN

Ref. Number: W95000002047

We have received your document for SPECIALIST AND DESIGN and your check(s) totaling \$87.50. However, the enclosed document has not been filed and is being returned for the following correction(s):

We must deny registration; there is a federal registration on file with the U.S. Patent and Trademark Office for THE SPECIALIST, Registration Number 1,127,081, for the same or similar name and class(es).

Enclosed is an application for refund.

If you have any questions concerning the filing of your document, please call (904) 487-6918.

Letter Number: 195A00003758

Nanette Causseaux Corporate Specialist Supervisor

<u>AFFIDAYIT</u>

STATE OF FLORIDA COUNTY OF HILLSBOROUGH

BEFORE ME, the undersigned authority duly authorized to administer oaths, personally appeared Ronald P. Chenoweth, Sr. ("Affiant"), who being first duly sworn, on oath deposes and says that:

- 1. I am the President of Specialist Automotive Marketing, Inc., a Florida corporation.
- 2. The market for direct mail and advertising agency services is of an entirely different kind and nature from the market for employment agency services. In particular, the services provided are essentially different, as are the established and likely-to-continue trade channels, conditions under which sales are made, and buyers to whom sales are made.
- 3. I have no knowledge or information of any evidence of actual confusion whatsoever arising from the use by the registrant of THE SPECIALIST for employment agency services with the use by Specialist Automotive Marketing, Inc. and its predecessors in interest of SPECIALIST, since February 1, 1993, and SPECIALIST and Design, SPECIALIST AUTOMOTIVE MARKETING, and Design, since August 2, 1994, for direct mail and advertising services.

Further Affiant saith not.

Dated the 20 day of Jeforum

Renald P. Chenoweth, Sr.

7/7 S. Harbour Island Blvd., Suite 950

Tampa, Florida 33602

me this 20 day of F President(title) of Specialist Auto of the corporation. He/She ples produced / / his/her	TRUMENT was sworn to, subscribed and acknowledged before brian points. 1995, by Ronald P. Chenoweth, Sr., as omotive Marketing, Inc., a Florida(state) corporation, on behaluse check as applicable? / // / is personally known to me, or important the corporation of the corporation
	Kaun H Schmidt Karen 11 Schmidt
	Karen II Schmidt
Alomaniat onata	(Printed name)
(NOTARIAL SEAL)	NOTARY PHBLIC. STATE OF PLORIDA. MY COMMISSION EXPIRES: May 21, 1995.
	(Commission Expiration Date)
	(Serial Number, 1f Any)

FLORIDA DEPARTMENT OF STATE Division of Corporations

In re Application of:

Specialist Automotive Marketing, Inc.

Serial No.:

W95000002947

Filed: Mark: January 23, 1995 SPECIALIST and Design

Response to Office Action Letter Number: 195A00003758

Examiner: Nanette Causseaux

Corporate Specialist Supervisor

Dear Ms. Causseaux:

We represent Specialist Automotive Marketing, Inc. (the "Applicant") in the above-captioned application for registration of SPECIALIST and Design (the "Mark") as a service mark, pursuant to Chapter 495, Florida Statutes. In letter number 195A00003758, the Examiner denied registration on the ground that SPECIALIST and Design is similar to a federally registered service mark, THE SPECIALIST (the "Cited Mark"), Registration Number 1,127,081. The Applicant greatly appreciates the courtesies extended during a telephone conference with Applicant's attorney. In light of that discussion, Applicant hereby and respectfully requests in writing that the examiner reconsider and register the requested service mark, on the following ground:

SPECIALIST and Design is registerable under section 495.021, Florida Statutes, because the uses of that mark <u>for advertising agency services</u> are not likely to cause confusion, mistake or to deceive with respect to the uses of THE SPECIALIST <u>for employment agency services</u>.

In the following discussion, substantial reliance will be made upon authority interpreting the Trademark Act of 1946, as amended, 15 U.S.C. §§ 1051-1127 (the "Trademark Act") Although this application is made under Chapter 495, Florida Statutes, and not under the Lanham Act, Chapter 495 provides that:

Construction of chapter.--It is the Intent of the Legislature that, in construing this chapter [495], due consideration and great weight be given to the interpretation of the federal courts relating to comparable provisions of the Trademark Act. . . .

§ 495.181, Fla. Stat. (1993).

ANALYSIS

SPECIALIST and Design IS REGISTERABLE UNDER SECTION 495.021, FLORIDA STATUTES, BECAUSE THE USES OF THAT MARK FOR ADVERTISING AGENCY SERVICES ARE NOT LIKELY TO CAUSE CONFUSION, MISTAKE OR TO DECEIVE WITH RESPECT TO THE USES OF THE SPECIALIST FOR EMPLOYMENT AGENCY SERVICES.

Applicant respectfully submits that the SPECIALIST and Design should be registered Under Section 495.021, Florida Statutes (1993). The examiner denied registration because "there is a federal registration on file with the U.S. Patent and Trademark Office for THE SPECIALIST, Registration Number 1,127,081, for the same or similar name and class(es)." Of course, the Examiner's initial impression that the two marks are similar was entirely accurate: SPECIALIST and Design comprises in whole the word "specialist", which word is part of the composite Cited Mark, THE SPECIALIST.

However, Section 495.021 does not state any ground upon which a registration may be rejected merely for being the "same or similar" to another mark or name. The statute clearly states that the appropriate standard to determine registrability of a new mark based upon an existing mark is to determine if the new mark

Consists or comprise[s] a mark which so resembles a mark . . . previously used in this state by another and not abandoned, as to be likely, when applied to the goods or services of the applicant, to cause confusion or mistake or to deceive.

§ 495.021(f), Fla. Stat. (1993) (emphasis added).

The appropriate test, therefore, is not whether there is mere similarity of names or classes, but whether the two marks, when used with their services, respectively, are <u>likely to</u> <u>create confusion</u> as to the source or origin of those services.

Standard for Registrability --

Likelihood of confusion arising from similarity of two marks requires consideration of the factors enumerated in <u>In re E.I. DuPont DeNemours & Co.</u>, 476 F.2d 1357 (CCPA 1973). There, the Court of Customs and Patent Appeals discussed likelihood of confusion, and listed

Registration shall not be denied solely on the basis of reservation or registration by another of a corporate name or fictitious name that is the same or similar to the mark for which registration is sought.

3

§ 495.021(f), Fla. Stat. (1993) (emphasis added).

 $^{^{1\}prime}$ The only reference to the phrase "same or similar" with regard to registrability can be found in Section 495.021, which provides that

thirteen factors to be considered in determining likelihood of confusion, which factors include the following:

- (1) The similarity or dissimilarity of the marks in their entireties as to appearance, sound, connotation and commercial impression;
- (2) The similarity or dissimilarity and nature of the goods or services as described in an application or registration or in connection with which a prior mark is in use;
- (3) The similarity or dissimilarity of <u>established</u>, <u>likely-to-continue trade</u> channels:
- (4) The conditions under which and buyers to whom sales are made;
- (5) The fame of the prior mark (sales, advertising, length of use):
- (6) The number and nature of similar marks in use on similar good; and
- (7) The length of time during and the conditions under which there has been concurrent use without evidence of actual confusion.

See <u>DuPont</u>, 476 F.2d at 1360-62; § 495.181, Fla. Stat. (1993); see also Rules of the Department of State Division of Corporations: Trademark Examining Procedures § 305.01 (1994 draft ed.) [hereinafter Fla. T.E.P. Draft].

Dissimilar Services and Dissimilar Markets --

Under <u>DuPont</u>, even marks that are identical in sound or appearance often create sufficiently different impressions when applied to the respective parties goods or services. <u>See e.g.</u>, <u>In re Sears, Roebuck and Co.</u>, 2 U.S.P.Q.2d 1312 (TTAB 1987) (CROSS-OVER for bras not likely to be confused with CROSSOVER for ladies' sportswear); <u>In re British Bulldog, Ltd.</u>, 224 U.S.P.Q. 854 (TTAB 1984) (PLAYERS for men's underwear not likely to be confused with PLAYERS for shoes); <u>In re Sydel Lingerie Co, Inc.</u>, 197 U.S.P.Q. 629 (TTAB 1977)

4

(BOTTOMS UP for ladies' and children's underwear not likely to be confused with BOTTOMS UP for men's clothing). Indeed, the United States Patent and Trademark Office has granted federal registrations for THE SPECIALIST, cited by the examiner and THE SPECIALISTS, LTD., REG. NO. 1,522,870, Jan. 31, 1989 in Class 35, notwithstanding the nearly identical appearance of those marks. Further examples of other similar or identical marks federally registered in Class 35 appear below and in Appendix B.

In the case of SPECIALIST and Design and THE SPECIALIST, the nature of the services and their respective trade channels are entirely different. Although, at first glance, the services for the two marks appear similar to the extent they both appear in the same class, it must be noted that Class 35 is remarkably broad in scope, and encompasses vastly differing markets for services, including those from "account auditing" to "xerography services." See International Trademark Association, U.S. Patent and Trademark Office Acceptable Identification of Goods and Services Manual 503, 513 (1991). Upon more careful examination, it can be seen from the service descriptions alone, that the marks are directed to services that are by their nature dissimilar, which services are in turn directed to dissimilar markets and channels of distribution. Indeed, the very name of the Class 35 category, "advertising and business", suggests that advertising services and business services are two separate services. In this case, the Mark is used for advertising services while THE SPECIALIST is used for very different business services.

In particular, the application for the Mark states that SPECIALIST and Design has been used for

Direct mail and advertising agency services,

while the Westlaw listing, attached hereto as Appendix A, of the registration for THE SPECIALIST shows that THE SPECIALIST is instead used for

Employment agency services.

The Applicant suggests that these services are entirely different in nature: direct mail and advertising agencies entail preparation of advertising materials and their distribution to targeted customers through appropriate advertising channels for their client businesses; employment agency services presumably entail the location and placement of employees. The services are directed to entirely different marketplaces: the Applicant will seek out companies that would benefit from direct mail advertising of such company's goods and services; the employment agency presumably seeks out companies that would benefit from employee identification and placement services. Any commonality between the respective customer bases would be entirely incidental. These facts are attested to in the Affidavit attached hereto as Exhibit C.

The likelihood of confusion or mistake must exist as to the purchasers, or the purchasing public, for the particular goods or services on which the marks are used. Section 495.181, Fla. Stat. (1993); 15 U.S.C. § 1052(d); see also Fla. T.E.P. Draft § 305.01. In the present case, the conditions under which and buyers to whom sales are made are entirely different. As noted before, there is no reasonable basis to conclude that a customer who is likely to want to hire an employee will be more or less likely to be desirous of acquiring advertising or direct mail services.

Moreover, these marks are different in meaning and appearance, however similar in spelling they might appear. While THE SPECIALIST appears to appeal to the notion of a "specialist," that is, an expert in providing employment agency services, the Applicant's mark

is designed to refer to its "special list", a particularly effective mailing list of names that it uses with its direct mail and advertising agency services. This distinction is evidenced in the presentation of the design of the Applicant's mark, which uses a stylized and slanted "LIST" to separate the use of the two words.

The Cited Mark is Weak, Given the Multiplicity of Registered Marks and Applications Using the Word "Specialist" --

The <u>DuPont</u> factors include the number and nature of similar marks in use on similar goods, and the strength of the mark. <u>See DuPont</u>, 476 F.2d at 1360-62. Applicant suggests that this factor also militates allowance of registration in this case. A unitary mark is not likely to be confused with a composite mark incorporating the unitary mark when there exists a number of composite marks also sharing that unitary feature, even where both marks are used in the same market. <u>See Giorgio Beverly Hills, Inc. v. Reylon Consumer Prods. Corp.</u>, 869 F. Supp. 176 (S.D.N.Y. 1994) (holding RED not likely to be confused with CHARLIE RED, both used for perfumes, in light of the multiplicity of marks using the word "red"). As seen in Appendix B, attached hereto, many federal registrations and applications for registrations in Class 35 incorporate or have incorporated the word "specialist." These include some marks that are nearly identical to THE SPECIALIST, such as:

THE SPECIALISTS LTD. THE SPECIALIST'S SPECIALIST	Ser. No. 74-420,801	
TECH SPECIALISTS THE LOCAL SPECIALIST		AUG. 2, 1988 JAN 31, 1984
SPECIALISTS ON CALL MACSPECIALISTS	Reg. No. 1,678,763 Reg. No. 1,640,752	Mar. 10, 1992

These marks and registrations are just a few of the registrations and applicants using the word "specialist" in section 35.2 Many more examples exist in other classes. The Applicant submits that if THE SPECIALISTS LTD, is not considered so inherently likely to cause confusion because of similarity with THE SPECIALIST, then it cannot reasonably be said that the Applicant's application for registration for use with entirely different services in an entirely different marketplace could creates such likelihood of confusion.

To the extent that similar marks in Class 35 affords protection against these marks regardless of their uses, the failure of the owner of THE SPECIALIST to oppose or cancel those other registrations abandons or weakens the scope of that mark's protection. Even if protection for THE SPECIALIST was not abandoned by inaction in light of those subsequent registrations, the protection afforded to a mark with so many "clones" would be so weak as to preclude denial of registration of non-identical marks in non-identical markets. In light of the extent to which the Applicant's mark is used in an entirely different market, with an entirely different meaning, and is not identical to THE SPECIALIST, application should not have been refused on likelihood of confusion grounds.

Indeed, even if the Examiner were to take the view that the marketplaces for the respective services used with the Mark and the Cited Mark were similar merely because both services are categorized in Class 35 as "advertising and business services," a view that the

² Although THE SPECIALIST'S SPECIALIST has not yet matured to registration, its publication and registration review have been completed. THE LOCAL SPECIALIST, though it was at one time fully registered during the period following registration of THE SPECIALIST was later cancelled because the registrant failed to file a Section 8 affidavit during the sixth year following registration, and not because of substantive issues related to the mark THE SPECIALIST itself.

Applicant respectfully suggests is inconsistent with commercial reality, the <u>DuPont</u> test would still permit registration in this case. Another of the <u>DuPont</u> factors cited above is the number and nature of similar marks in use on similar services. 476 F.2d at 1360-62. Here, the multiplicity of similar marks in Class 35 (to the extent that co-existence in a class can be considered to render services similar), taken in combination with the other facts and factors discussed above further points to a finding that there is <u>no</u> likelihood of confusion, and that the application is in condition for allowance.

No Evidence of Actual Confusion --

Still another <u>DuPont</u> factor is the length of time and conditions under which there has been concurrent use without evidence of actual confusion. As attested to in the Affidavit attached hereto as Exhibit C, The Applicant has no knowledge or information of any actual confusion between **THE SPECIALIST**, used for employment agency services, and the Mark and similar marks used by the Applicant and its predecessors in interest to the Mark since February, 1993, used for direct mail and advertising agency services.

CONCLUSION

The Applicant submits that because SPECIALIST and Design is applied for use with entirely different services in an entirely different marketplace than those services and marketplaces set forth in the registration of THE SPECIALIST; and because there is an abundance of registrations in Class 35 incorporating the word "specialist", including marks nearly identical to THE SPECIALIST, the latter mark should not be cited against the present application for registration on likelihood of confusion grounds under <u>DuPont</u>. In light of this, Applicant's application is in condition for allowance. Therefore, the Applicant respectfully requests that the Examiner and Department of State reconsider the Examiner's rejection of the present application, and that the application be permitted at this time to mature to registration.

Respectfully Submitted,

CARLTON, FIELDS, WARD, EMMANUEL, SMITH & CUTLER, P.A.

By: Andrew C. Greenberg

Florida Department of State, Jim Smith, Secretary of State

APPLICATION FOR THE REGISTRATION OF A TRADEMARK OR SERVICE MARK

PURSUANT TO CHAPTER 495, FLORIDA STATUTES

TOI	Division of	Cort	orations
	Post Office	nox.	6327
	Tallahannoo,	$\mathbf{F}\mathbf{L}$	32314

Namo & address to whom acknowledgement should be sent:

Andrew C. Greenberg, Esq.
Carlton, Fields, Ward, et al.
PO Box 3239

Tampa, FL 33601

(813) 223-7000

Daytimo Telephone number

PART I
1. (a) Applicant's name: Specialist Automotive Marketing, Inc.
(b) Applicant's business address: 777 S. Harbour Island Blvd., Suite 950 Tampa, Florida 712; 33602
(c) Applicant's talaphone number: (813) 228-0080
Individual K Corporation Joint Venture Other: General Partnership Limited Partnership Union
If other than an individual, (1) Florida registration number: P94000062931
502262006
(2) Federal Employer Identification Number:
2.(a) If the mark to be registered is a service mark, the services in connection with which the mark is used: (i.e., furniture moving services, diaper services, house painting services, etc.) Direct mail and advertising agency services.
(b) If the mark to be registered is a trademark, the goods in connection with which the mark is used: (i.e., ladies sportswear, cat food, barbeque grills, shoe laces, etc.
(c) The mode or manner in which the mark is used: (i.e., labels, decals, newspaper advertisements, brochures, etc.) By imprinting the mark on advertising materials, stationery, business cards, brochures and other means customary in the trade.

(d) The class(os) is which goods or services 'falls 35
PART II 1. Date first used by the applicant, predocessor, or a related company (music first die month, day and year): (a) Date first used anywhere: August 2, 1994 (b) Date first used in Florida: August 2, 1994 PART III
1. The mark to be registered in: (If logo/design in included, please give brief of written description which must be 25 words or iden.) SPECIALIST and DESIGN OF STYLLISED LETTERS, WITH LETTERS "LIST" SLANFED, ABOVE DIACONAL
MOSATO PATTERN RESEMBLING TIRE TREADS THAT IS COMPRISED OF SILHOUGHTED AUTOMOBILES
2. DISCLAIMER (if applicable)
NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE THE TERM "
"APART FROM THE MARK AS SHOWN. f,
Applicant's signature or authorized person's signature (List name and title) STATE OF FLORIDA COUNTY OF HILLS BORGUEH On this 17 day of TANHARY, 19 95, ROMALD PERSONALLY Appeared before me, who is personally known to me whose identity I proved on the basis of
Notary Public Signature KAREN H Schmidt Notary's Printed Name

seal

Hy Commission Expires:

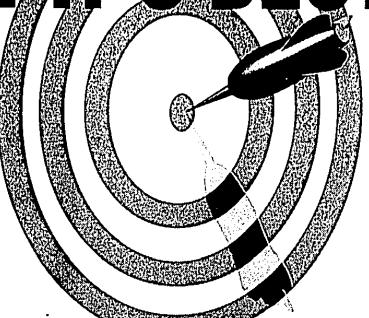
MOTARY PROBLE, STATE OF FLORIDA.

MOTARY PROBLEM May 22, 1995.

ROSEN CLASS STATE OF FLORIDA.

MOTARY PROBLEM MAY 22, 1995.

TARKETING AT 15 BEST...



SPECIALIST DIRECT MAIL & TELEMARKETING SERVICES

SPECIALIST ... AUTOMOTIVE MARKETING

CONTENTS SECTION A DIRECT MAIL CAMPAIGN

Program Overview
List Specifics
Sample Database
Sample Mail Pieces
Event Preparation Check List

SECTION B TELEMARKETING PROGRAM

Telemarketing is a Numbers Game
Telemarketing Instructions
Telemarketing Script
Telemarketing Tips
Common Objections
Telemarketing Call Record
Telemarketing Appointments Schedule

SECTION C SERVICE DEPARTMENT DIRECT MAIL PROGRAM

SECTION D
VARIOUS "TURN KEY" PROGRAMS