

PLEASE READ ALL INSTRUCTIONS BEFORE COMPLETING THIS FORM.

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APPLICATION FOR REINSTATEMENT

FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

APPROVED AND FILED

98 NOV 25 AM 8:49

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DOCUMENT # S94691

1. Corporation Name

LOP CAPITAL MARKETS, INC.

Principal Place of Business

Mailing Address

621 N.W. 53RD ST.
ONE PARK PLACE, SUITE 340
BOCA RATON FL 33487

621 N.W. 53RD ST.
ONE PARK PLACE, SUITE 340
BOCA RATON FL 33487

If above addresses are incorrect in any way, line through incorrect information and enter correction below.

2. New Principal Office Address, If Applicable

3. New Mailing Office Address, If Applicable

4. Date Incorporated or Qualified To Do Business in Florida

11/18/1991

Suite, Apt. #, etc.

Suite, Apt. #, etc.

5. FEI Number

59-3097580

Applied For

Not Applicable

City & State

City & State

Zip

Country

Zip

Country

6. CERTIFICATE OF STATUS DESIRED ☐

\$8.75 Additional Fee required for a Certificate of Status

7. Names and Street Addresses of Each Officer and/or Director (Florida nonprofit corporations must list at least 3 directors)

1 Title(s)	2 Name of Officers and/or Directors	3 Street Address of Each Officer and/or Director (Do NOT Use Post Office Box Numbers)	4 City / State / Zip
C.	OROSEY, JOHN G	621 NW 53RD ST SUITE 340	BOCA RATON FL 33487
P.	PEPE, GERARD J	621 NW 53RD ST SUITE 340	BOCA RATON FL 33487
			700002703867--1 -12/04/98--01107--014 ****150.00 ****150.00

8. Name and Address of Current Registered Agent

9. Name and Address of New Registered Agent

OROSEY, JOHN G
621 N.W. 53RD ST.
ONE PARK PLACE, SUITE 340
BOCA RATON FL 33487

Name

GERARD J. PEPE

Street Address (P.O. Box Number Is Not Acceptable)

4788 N CITATION DR

Suite, Apt. #, Etc.

106

City

DELRAY BEACH

State

FL

Zip Code

33445

10. I, being appointed the registered agent of the above named corporation, am familiar with and accept the obligations of Section 607.0505, F.S.

Signature of Registered Agent

Gerard J. Pepe
REGISTERED AGENT MUST SIGN

Date 11-20-98

11. This corporation owes or has paid the current year Intangible Personal Property tax due June 30.

Yes ☐ No ☒ NONE DUE

(See other side for information on intangible tax.)

12. I certify that I am an officer or director or the receiver or trustee empowered to execute this application as provided for in chapter 607 or 617, F.S. I further certify that when filing this reinstatement application, the reason for dissolution has been eliminated, the corporate name satisfies the requirements of section 607.0401 or 617.0401, F.S., that all fees owed by the corporation have been paid and the names of individuals listed on this form do not qualify for an exemption under section 119.07(3)(f), F.S. The information indicated on this application is true and accurate, and my signature shall have the same legal effect as if made under oath.

SIGNATURE:

Gerard J. Pepe
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

GERARD J. PEPE

DIRECTOR 11-20-98

Date

Daytime Phone #

954 4938900

CR2E040 (9/98)

2063

LARRY LEGEL, CPA

Practice Concentrating in
Taxation and Securities

5100 N. Federal Highway, Suite 409
Ft. Lauderdale, FL 33308

(954) 493-8900 Office
(954) 493-8300 Fax

November 23, 1998

State of Florida
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

re: Lehwald, Orosey & Pepe, Inc.
FEI# 59-2541723

In early 1997 Lehwald, Orosey & Pepe, Inc. went out of business due to a series of lawsuits against the corporation including one by the State of Florida re: Escambia County/securities transactions. The Corporation was unable to maintain its NASD broker-dealer license and filed form B-D-W withdrawing from active status with NASD and SEC. The Corporation continued to exist, paid it's bills to unwind operations, and continued as a defendant in certain litigations and arbitration matters at some point in late 1997 the open matters of the Corporation began to be completed. The offices of the Corporation in Suite 260 were closed and the remaining several officers of the Corporation regrouped, downsized and moved their offices to Suite 340 in the same building. Thereafter, the receipt of incoming mail was sometimes effective and sometimes a miss. There were no secretarial or clerical employees to ensure the proper handling of mail or filing of bills or notices. To the best of our knowledge the principals of the Corporation never received the annual report notice and without an accountant, bookkeeper, or records department might not have recognized one if they saw it.

Due to the aforesaid circumstances the Corporation officers that were left when the Corporation ceased active operations did not receive the annual report notice and did nothing in the matter until they recently received the dissolution notice, after which they contacted the undersigned CPA, POA and thus this letter requesting a waiver of the penalties.

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November 23, 1998
State of Florida
re: Lehwald, Orosey & Pepe, Inc.
FEI# 59-2541723
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Enclosed please find our payment for \$150 and updated annual report form for processing. Please accept and kindly waive penalties.

As to LOP Capital Markets, Inc. the circumstances are almost identical except that the Corporation went out of business in late 1997, approximately six months after the first Corporation closed it's doors. All other matters are identical.

Enclosed please find our payment for \$150 and updated annual report form for processing. Please accept and kindly waive penalties.

As to Orosey & Pepe Capital Markets, Inc. please accept the following explanation. The Corporation's attorneys incorporated the business in late 1997. The officers at that time were unaware of any filing requirements that were not handled by those attorneys. They did not receive any annual report mailing. It is unclear whether such report might have been mailed to the incorporator.

Enclosed please find our check for \$150 and updated annual report form for processing. Please accept and kindly waive penalties.

The Corporations have retained the undersigned CPA, POA to handle the matters of the waiver of the penalties and all annual report filing in the future.

The undersigned CPA, POA will ensure that the 1999 filings of the annual reports will be made timely.

Please make my job easier by kindly granting this reasonable request for the penalties to be waived.

Your kind consideration is appreciated.

Sunshine,

Larry Legel, CPA

LARRY LEGEL, CPA, POA

lopstate