2000 UNIFORM BUSINESS REPORT (UBR)

Aug 03, 2000 8:00 am Secretary of State DOCUMENT # **P99000055484** CASCO BAY ADVISORS, INC. 08-03-2000 90003 015 ***150.00 Mailing Address Principal Place of Business 1900 SOUTH HARBOR CITY BOULEVARD 1900 SOUTH HARBOR CITY BOULEVARD MELBOURNE FL 32901 MELBOURNE FL 32901 2. Principal Place of Business 3. Mailing Address DO NOT WRITE IN THIS SPACE Suite, Apt. #, etc. Suite, Apt. #, etc. City & State City & State 4. FEI Number Applied For 59-3583512 Not Applicable Zip Country Zin Country \$8.75 Additional 5. Certificate of Status Desired Fee Required 6. Name and Address of Current Registered Agent 7. Name and Address of New Registered Agent Name SPIEGEL & UTRERA, P.A. Street Address (P.O. Box Number is Not Acceptable) 343 ALMERIA AVENUE **CORAL GABLES FL 33134** Zip Code FL 8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. SIGNATURE Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when reinstating) DATE FILE NOW!!! FEE IS \$550.00 9. This corporation is eligible to satisfy its Intangible 10. Election Campaign Financing \$5.00 May Be Tax filing requirement and elects to do so. After SEPTEMBER 13, 2000 Min. will be \$750.00 Trust Fund Contribution. Added to Fees (See criteria on back) Make Check Payable to Department of State ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 OFFICERS AND DIRECTORS 12. 11. ☐ Change ■ Addition Delete TITLE TITLE HOOD, STEPHEN M NAME STREET ADDRESS 1900 SOUTH HARBOR CITY BOULEVARD STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP **MELBOURNE FL 32901** TITLE ☐ Delete ☐ Change Addition NAME NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP ☐ Change ☐ Addition ☐ Delete TITLE TITLE NAME NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-7IP ☐ Addition ☐ Delete TITLE Change TITI F NAME NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP ☐ Change Addition ☐ Delete TITLE TITLE NAME NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP Delete Change Addition TITLE TITLE NAME NAME

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an actives, with all other like empowered.

SIGNATURE:

STREET ADDRESS CITY-ST-ZIE

NATURE AND TYPED OF PRINTED NAME OF SIGN

STREET ADDRESS

CITY-ST-ZIP

attachment 19900055484

Casco Bay Advisors, Inc.

A Registered Investment Advisory Firm _

DOO 76162 BRANCH

Suite 328 1900 S. Harbor City Blvd. Melbourne, FL 32901 321 757-7951 Telephone 321 951-4227 FAX Phone

PMB #303 465 NE 181st Ave. Portland, OR 97230 503 284-4640 Telephone 321 951-4227 FAX Phone

July 27, 2000

Florida Division of Corporations PO Box 6327 Tallahassee, FL 32314

Re: 2000 UBR

I talked to Robin in your department and she advised me to send an explanation and a check for \$150.

The reason for our tardiness is we either did not receive the first UBR or did not recognize it for what it was. My understanding has been that our registered agent was handling this type of matter so it may have been a case of our thinking the first UBR was a duplicate, I'm not certain at this point.

Something similar is occurring with the federal government in that we receive quarterly tax accounting forms. Our accounting is being handled by an outside service so there is no need for us to take action with these forms as the accounting firm does it.

We are a first time filer as a corporation, having incorporated in Florida July 1999. Our apologies for this error as we take pride in meeting our obligations in a timely fashion.

Sincerely

stephen Hood

President