

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

FILED
Mar 01, 1999 8:00 am
Secretary of State

03-01-1999 90009 002 ***150.00

DOCUMENT # **P98000031558**

1. Corporation Name

JWH SECURITIES, INC.



Principal Place of Business

**301 YAMATO ROAD
STE 2200
BOCA RATON FL 33431-4931**

Mailing Address

**301 YAMATO ROAD
STE 2200
BOCA RATON FL 33431-4931**

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

04/06/1998

4. FEI Number

06-1512227

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution ☐

\$5.00 May Be
Added to Fees

8. This corporation owes the current year Intangible
Personal Property Tax. ☒ Yes ☐ No

2. Principal Place of Business

21 One Glendinning Place

Suite, Apt. #, etc.

**22 City & State
Westport, Connecticut**

**24 Zip Country
06880 USA**

2a. Mailing Address

26 One Glendinning Place

Suite, Apt. #, etc.

**27 City & State
Westport, Connecticut**

**29 Zip Country
06880 USA**

9. Name and Address of Current Registered Agent

**BRAICA, PAUL D
301 YAMATO ROAD
STE 2200
BOCA RATON FL 33431-4931**

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE ☐ DELETE

NAME

STREET ADDRESS

CITY-ST-ZIP

TITLE ☐ DELETE

NAME

STREET ADDRESS

CITY-ST-ZIP

TITLE ☐ DELETE

NAME

STREET ADDRESS

CITY-ST-ZIP

TITLE ☐ DELETE

NAME

STREET ADDRESS

CITY-ST-ZIP

TITLE ☐ DELETE

NAME

STREET ADDRESS

CITY-ST-ZIP

TITLE ☐ DELETE

NAME

STREET ADDRESS

CITY-ST-ZIP

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE

PD

☐ Change

☒ Addition

1.2 NAME

Paul D. Braica

1.3 STREET ADDRESS

301 Yamato Road, Suite 2200

1.4 CITY-ST-ZIP

Boca Raton, Florida 33431-4931

☐ Change

☒ Addition

2.1 TITLE

DV

2.2 NAME

Christopher E. Deakins

2.3 STREET ADDRESS

One Glendinning Place

2.4 CITY-ST-ZIP

Westport, Connecticut 06880

☐ Change

☒ Addition

3.1 TITLE

ST

3.2 NAME

Kevin J. Treacy

3.3 STREET ADDRESS

One Glendinning Place

3.4 CITY-ST-ZIP

Westport, Connecticut 06880

☐ Change

☐ Addition

4.1 TITLE

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

☐ Change

☐ Addition

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

(203) 221-0431

CR2E034 (11/98)