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PROFIT CORPORATION ANNUAL REPORT 1999	FLORIDA DEPARTMENT OF STATE Katherine Harris Secretary of State DIVISION OF CORPORATIONS
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DOCUMENT # P97000079770 (8)

1. Corporation Name

ROCKMAN INVESTMENT & SECURITIES, INC.

Principal Place of Business 1029 DELACROIX CIRCLE NOKOMIS, FL 34275	Mailing Address 1029 DELACROIX CIRCLE NOKOMIS, FL 34275
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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

4/2/99 90067030150.00  
DO NOT WRITE IN THIS SPACE

2. Principal Place of Business 1 SARASOTA TOWER Suite, Apt. #, etc. 2 N. TRAIL, STE. 506 City & State SARASOTA, FLORIDA Zip 34236	2a. Mailing Address 26. 1 SARASOTA TOWER Suite, Apt. #, etc. 27 2 N. TRAIL, STE. 506 City & State SARASOTA, FL Zip 34236	3. Date Incorporated or Qualified 09/12/1997 4. FEI Number 65-0779539 5. Certificate of Status Desired 6. Election Campaign Financing Trust Fund Contribution 7. This corporation owes the current year intangible Personal Property Tax.
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9. Name and Address of Current Registered Agent LAUDENSLAGER, JOHN P 1029 DELACROIX CIRCLE NOKOMIS, FL 34275	10. Name and Address of New Registered Agent 81. Name HERB ALWARD 82. Street Address (P.O. Box Number is Not Acceptable) 1 SARASOTA TOWER 83. 2 N. TRAIL, STE. 506 84. City SARASOTA 85. Zip Code FL 34236
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11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE Herb F. Alward DATE 10/29/99

12. OFFICERS AND DIRECTORS	13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12
1. TITLE PRESIDENT & CEO 2. NAME TANIEL O. MARCOLINO 3. STREET ADDRESS TAMIAHI BVL ROAD 4. CITY-STATE-ZIP SARASOTA, FL 34230	1. TITLE PRESIDENT & CEO 2. NAME TANIEL O. MARCOLINO 3. STREET ADDRESS 1 SARASOTA TOWER, 2 N. TRAIL, #506 4. CITY-STATE-ZIP SARASOTA, FL 34236
5. TITLE CHAIRMAN 6. NAME ANTONIO C. SANTA LUCIA 7. STREET ADDRESS TAMIAHI BL ROAD 8. CITY-STATE-ZIP SARASOTA, FL 34230	5. TITLE DIRECTOR 6. NAME HERB F. ALWARD 7. STREET ADDRESS 1 SARASOTA TOWER, 2 N. TRAIL, #506 8. CITY-STATE-ZIP SARASOTA, FL 34236
9. TITLE COMMERCIAL DIRECTOR 10. NAME HERB F. ALWARD 11. STREET ADDRESS TAMIAHI BL ROAD 12. CITY-STATE-ZIP SARASOTA, FL 34230	9. TITLE DIRECTOR 10. NAME NICOLAS G. PECHLIVANIS 11. STREET ADDRESS 1 SARASOTA TOWER, 2 N. TRAIL, #506 12. CITY-STATE-ZIP SARASOTA, FL 34236
13. TITLE DELETED 14. NAME DELETED 15. STREET ADDRESS DELETED 16. CITY-STATE-ZIP DELETED	13. TITLE MANAGER 14. NAME LAERCIO M. SANTOS 15. STREET ADDRESS 1 SARASOTA TOWER, 2 N. TRAIL, #506 16. CITY-STATE-ZIP SARASOTA, FL 34236

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath: that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed or on an attachment with an address, with all other like empowered.

SIGNATURE X  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR



**Kerkerling, Barberio & Co., P.A.**  
Certified Public Accountants

Established 1972

November 1, 1999

State of Florida  
Division of Corporations  
409 E. Gaines Street  
Tallahassee, FL 32399

Re: Rockman Investment & Securities, Inc.

Dear Sir or Madam:

Enclosed please find a copy of the Annual Report for ROCKMAN INVESTMENT & SECURITIES, INC., signed by the new registered agent. Apparently, the original report was not signed by him and was misplaced. Per instructions from someone in your Department, we were advised that a signed copy could be submitted and would be accepted. Also enclosed, is a copy of the canceled check for the fee. We would appreciate if you would reinstate Rockman as soon as possible so that they can carry on with their business.

If you have any questions regarding this, please do not hesitate to contact me.

Very truly yours,

KERKERLING, BARBERIO & CO., P.A.

Robert P. Clarke, C.P.A.

RPC/pl  
Enclosures

*Principals*

Allan J. Barberio, CPA  
Charles R. Baumann, CPA  
Robert P. Clarke, CPA  
H. Dieter Gebhard, CPA (Ret.)  
Renea M. Glendinning, CPA  
Richard E. Goble, CPA  
Susan B. Grundy, CPA  
Kathleen A. Hargreaves, CPA  
Richard J. Kerkerling, CPA (Ret.)  
Robert J. Lane, CPA  
William G. Miles, CPA  
Caroline D. Strickland, CPA

*Managers*

Julie L. Bynum, CPA  
Beth C. Ebersole, CPA  
Shirley E. Fieber, CPA  
Barbara B. Gardner  
W. Kemp Gibson, III, CPA  
Robin K. Knuth, CPA  
Janet C. Narvaez, CPA  
Pauline J. Parrish  
James R. Schnarr  
Margaret Shoaf, CPA