

P9700012137



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State

FILED
91 OCT 23 PM 3:41
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

October 8, 1997

Thomas D. Abrams
2090 Palm Beach Lakes Blvd.
West Palm Beach, FL 33404

SUBJECT: PHEONIX FINANCIAL GROUPS, INC.
DOCUMENT NUMBER: P97000012137

Dear Mr. Abrams:

Through error, your articles of incorporation for PHEONIX FINANCIAL GROUPS, INC. was filed on February 6, 1997 by one of my staff. We already have a corporation PHOENIX FINANCIAL GROUP INC., that was filed on September 27, 1996.

Therefore because of the similarity of names, it is requested that you amend the name of your corporation to make it distinguishable from the earlier filed entity. I have enclosed guidelines for your convenience in preparing the amendment.

I apologize for this inconvenience and trust that you will get the amendment properly filled out and returned to my attention as quickly as possible so that we can get our records corrected.

Please return a copy of this letter to insure that the amendment gets filed at no charge.

Sincerely,

Beth Register

Beth Register
DIVISION OF CORPORATIONS
PO Box 6327
Tallahassee, FL 32314
850-487-6919

*No charge for this amendment
and cc because the name
was filed in error on 2-6-97*

BR 10-23-97

Phoenix Investments Inc

ARTICLES OF AMENDMENT
TO
ARTICLES OF INCORPORATION
OF

THE PHOENIX FINANCIAL GROUPS, INC.

(present name)

Pursuant to the provisions of section 607.1006, Florida Statutes, this Florida profit corporation adopts the following articles of amendment to its articles of incorporation:

FIRST: Amendment(s) adopted: (indicate article number(s) being amended, added or deleted)

ARTICLE I ^{the} PHOENIX FINANCIAL GROUPS, INC.
will hereafter be known as PHOENIX INVESTMENTS, INC.
A PRIVATE INVESTMENT ADVISORY FIRM

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SECOND: If an amendment provides for an exchange, reclassification or cancellation of issued shares, provisions for implementing the amendment if not contained in the amendment itself, are as follows:

THIRD: The date of each amendment's adoption: 10/13/97

FOURTH: Adoption of Amendment(s) (CHECK ONE)

- ☒ The amendment(s) was/were approved by the shareholders. The number of votes cast for the amendment(s) was/were sufficient for approval.
- ☐ The amendment(s) was/were approved by the shareholders through voting groups. The following statement must be separately provided for each voting group entitled to vote separately on the amendment(s):

"The number of votes cast for the amendment(s) was/were sufficient for approval by _____ voting group."

- ☐ The amendment(s) was/were adopted by the board of directors without shareholder action and shareholder action was not required.
- ☐ The amendment(s) was/were adopted by the incorporators without shareholder action and shareholder action was not required.

Signed this day 13th of October, 19 97

Signature

[Signature]
(By the Chairman or Vice Chairman of the Board of Directors, President or other officer if adopted by the shareholders)

OR

(By a director if adopted by the directors)

OR

(By an incorporator if adopted by the incorporators)

Thomas A. Adams
Typed or printed name

Pres./CEO
Title

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