

P95000089082

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SECRETARY OF STATE
DIVISION OF CORPORATIONS
OCT-5 AM 11:54

Amend v N.C.

C.COULLIETTE

OCT-6 2011

EXAMINER

COVER LETTER

TO: Amendment Section
Division of Corporations

NAME OF CORPORATION: Brokaw Investment Advisors, Inc.

DOCUMENT NUMBER: P95000089082

The enclosed *Articles of Amendment* and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

James M. Rice

Name of Contact Person

Brokaw Investment Advisors, Inc.

Firm/ Company

1383 Chapel Hill Rd.

Address

Mountainside, NJ 07092

City/ State and Zip Code

jim@brokawrice.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Jim Rice

Name of Contact Person

at (863) 944-4370

Area Code & Daytime Telephone Number

Enclosed is a check for the following amount made payable to the Florida Department of State:

☐ \$35 Filing Fee

☐ \$43.75 Filing Fee &
Certificate of Status

☐ \$43.75 Filing Fee &
Certified Copy
(Additional copy is enclosed)

☒ \$52.50 Filing Fee
Certificate of Status
Certified Copy
(Additional Copy is enclosed)

Mailing Address

Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address

Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Articles of Amendment
to
Articles of Incorporation
of

Brokaw Investment Advisors, Inc.

(Name of Corporation as currently filed with the Florida Dept. of State)

P95000089082

(Document Number of Corporation (if known))

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
14 OCT -5 AM 11:54

Pursuant to the provisions of section 607.1006, Florida Statutes, this **Florida Profit Corporation** adopts the following amendment(s) to its Articles of Incorporation:

A. If amending name, enter the new name of the corporation:

Brokaw Rice Investment Advisors, Inc.

The new name must be distinguishable and contain the word "corporation," "company," or "incorporated" or the abbreviation "Corp.," "Inc.," or "Co.," or the designation "Corp.," "Inc.," or "Co". A professional corporation name must contain the word "chartered," "professional association," or the abbreviation "P.A."

B. Enter new principal office address, if applicable:

(Principal office address **MUST BE A STREET ADDRESS**)

1217 Brighton Way

Lakeland, FL 33813

C. Enter new mailing address, if applicable:

(Mailing address **MAY BE A POST OFFICE BOX**)

1383 Chapel Hill Rd.

Mountainside, NJ 07092

D. If amending the registered agent and/or registered office address in Florida, enter the name of the new registered agent and/or the new registered office address:

Name of New Registered Agent:

James M. Rice

New Registered Office Address:

1217 Brighton Way

(Florida street address)

Lakeland

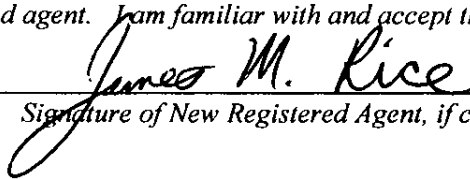
(City)

, Florida 33813

(Zip Code)

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent. I am familiar with and accept the obligations of the position.


Signature of New Registered Agent, if changing

If amending the Officers and/or Directors, enter the title and name of each officer/director being removed and title, name, and address of each Officer and/or Director being added:

(Attach additional sheets, if necessary)

<u>Title</u>	<u>Name</u>	<u>Address</u>	<u>Type of Action</u>
_____	_____	_____	☐ Add
		_____	☐ Remove

_____	_____	_____	☐ Add
		_____	☐ Remove

_____	_____	_____	☐ Add
		_____	☐ Remove

E. If amending or adding additional Articles, enter change(s) here:

(attach additional sheets, if necessary). (Be specific)

F. If an amendment provides for an exchange, reclassification, or cancellation of issued shares, provisions for implementing the amendment if not contained in the amendment itself:

(if not applicable, indicate N/A)

Effective date if applicable:

9/22/2011
(date of adoption is required)
9/22/2011
(no more than 90 days after amendment file date)

Adoption of Amendment(s)

(CHECK ONE)

- ☒ The amendment(s) was/were adopted by the shareholders. The number of votes cast for the amendment(s) by the shareholders was/were sufficient for approval.
- ☐ The amendment(s) was/were approved by the shareholders through voting groups. *The following statement must be separately provided for each voting group entitled to vote separately on the amendment(s):*

"The number of votes cast for the amendment(s) was/were sufficient for approval

by _____."
(voting group)

- ☐ The amendment(s) was/were adopted by the board of directors without shareholder action and shareholder action was not required.
- ☐ The amendment(s) was/were adopted by the incorporators without shareholder action and shareholder action was not required.

Dated 09/22/2011

Signature Susan L. Brokaw
(By a director, president or other officer – if directors or officers have not been selected, by an incorporator – if in the hands of a receiver, trustee, or other court appointed fiduciary by that fiduciary)

Susan L. Brokaw

(Typed or printed name of person signing)

President, Director of the Board

(Title of person signing)

**ACTION BY UNANIMOUS WRITTEN CONSENT
OF THE BOARD OF DIRECTORS OF
BROKAW INVESTMENT ADVISORS, INC.**

I, Susan L. Brokaw, the undersigned, being the sole director and sole shareholder of BROKAW INVESTMENT ADVISORS, INC., a Florida Corporation, do hereby take the following action by unanimous written consent.

RESOLVED, by the Board of Directors of BROKAW INVESTMENT ADVISORS, INC. , a Florida corporation, as follows:

1. That the corporation's name will be changed to BROKAW RICE INVESTMENT ADVISORS, INC.

Dated as of 22nd day of September, 2011.

Susan L. Brokaw

Susan L. Brokaw