

# 2004 FOR PROFIT CORPORATION ANNUAL REPORT

FILED

04 APR 30 PM 4:18

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

DOCUMENT # P41092

1. Entity Name  
SPELMAN & CO., INC.



Principal Place of Business  
2800 N. CENTRAL AVE, STE. 2100  
PHOENIX, AZ 85004-1072 US

Mailing Address  
2800 N. CENTRAL AVE, STE. 2100  
PHOENIX, AZ 85004-1072 US

500035130615



04282004 No Chg-P CR2E034 (10/03)

**DO NOT WRITE IN THIS SPACE**

4. FEI Number  
95-3660552

Applied For  
Not Applicable

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

**6. Name and Address of Current Registered Agent**

THE PRENTICE-HALL CORPORATION SYSTEM, INC.  
1201 HAYS STREET  
SUITE 105  
TALLAHASSEE, FL 32301

**DO NOT WRITE  
IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00  
After May 1, 2004 Fee will be \$550.00**

9. Election Campaign Financing  
Trust Fund Contribution. ☐

**\$5.00 May Be  
Added to Fees**

**10. OFFICERS AND DIRECTORS**

TITLE	P
NAME	CANNON, JAMES
STREET ADDRESS	2355 NORTHSIDE DR SUITE 200
CITY-ST-ZIP	SAN DIEGO, CA 92108
TITLE	EVP
NAME	KUBICA, LISA
STREET ADDRESS	2800 N. CENTRAL AVE, STE. 2100
CITY-ST-ZIP	PHOENIX, AZ 850041072
TITLE	CFOT
NAME	ROTHSTEIN, STEVEN
STREET ADDRESS	2355 NORTHSIDE DRIVE, SUITE 200
CITY-ST-ZIP	SAN DIEGO, CA 921082707
TITLE	D
NAME	CANNON, JAMES
STREET ADDRESS	2355 NORTHSIDE DR SUITE 200
CITY-ST-ZIP	SAN DIEGO, CA 92108
TITLE	D
NAME	ROTHSTEIN, STEVE
STREET ADDRESS	2300 WINDY RIDGE DRIVE, STE. 1100
CITY-ST-ZIP	ATLANTA, GA 30339
TITLE	D
NAME	CAVANAUGH, MARY
STREET ADDRESS	2929 ALLEN PARKWAY, AT8-01
CITY-ST-ZIP	HOUSTON, TX 77019

**DO NOT WRITE  
IN THIS SPACE**

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*Rita Sonchik* RITA SONCHIK

4/29/04

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #



Sentra Securities Corporation  
Spelman & Co., Inc.  
SunAmerica Securities, Inc.  
2800 N. Central Ave.  
Suite 2100  
Phoenix, AZ 85004

800.748.5673 - Sentra/Spelman  
800.552.3319 - SunAmerica Securities

## Spelman & Company, Inc. Officers/Directors March 29, 2004

### Directors:

James R. Cannon  
2355 Northside Drive, Suite 200  
San Diego, CA 92108-2707

Bridget M. Gaughan  
2800 North Central Avenue, Suite 2100  
Phoenix, AZ 85004-1072

Mary Cavanaugh  
2929 Allen Parkway, AT8-01  
Houston, TX 77019

Steven Rothstein  
2300 Windy Ridge Drive, Suite 1100  
Atlanta, GA 30339

### Officers:

James R. Cannon                      President/CEO  
2355 Northside Drive, Suite 200  
San Diego, CA 92108-2707

Bridget M. Gaughan                  Corporate Secretary  
2800 North Central Avenue, Suite 2100  
Phoenix, AZ 85004-1072

Lisa Kubica                              Executive Vice President, COO  
2800 North Central Avenue, Suite 2100  
Phoenix, AZ 85004-1072

Steven Rothstein                      Executive Vice President, CFO, Treasurer  
2300 Windy Ridge Drive, Suite 1100  
Atlanta, GA 30339

David Fischer                          Senior Vice President, Recruiting  
2355 Northside Drive, Suite 200  
San Diego, CA 92108-2707

Christopher Radford                      Senior Vice President, National Sales  
2355 Northside Drive, Suite 200  
San Diego, CA 92108-2707

Sally Bourdamis                      Vice President, Brokerage Services  
2800 North Central Avenue, Suite 2100  
Phoenix, AZ 85004-1072

Jackie Capriotti                      Vice President, Vision 2020  
2800 North Central Avenue, Suite 2100  
Phoenix, AZ 85004-1072

Susanne Denby                      Vice President, Director of Compliance  
2800 North Central Avenue, Suite 2100  
Phoenix, AZ 85004-1072

Rita Sonchik                      Vice President, Accounting  
2800 North Central Avenue, Suite 2100  
Phoenix, AZ 85004-1072

Ralph Stauffer                      Vice President, Information Technology  
2800 North Central Avenue, Suite 2100  
Phoenix, AZ 85004-1072

Mike McReynolds                      Assistant Vice President, Insurance  
2300 Windy Ridge Drive, Suite 1100  
Atlanta, GA 30339

Ralph Parker                      Assistant Vice President, Insurance  
2300 Windy Ridge Drive, Suite 1100  
Atlanta, GA 30339



CORPORATION SERVICE COMPANY

ACCOUNT NO. : 072100000032  
REFERENCE : 603771 4364302  
AUTHORIZATION : *Patricia Pigato*  
COST LIMIT : \$ 150.00

ORDER DATE : April 30, 2004  
ORDER TIME : 3:58 PM  
ORDER NO. : 603771-010  
CUSTOMER NO: 4364302  
CUSTOMER: Ms. Marie Meier  
Sunamerica Securities, Inc.  
Suite 2100  
2800 N. Central  
Phoenix, AZ 85004

ANNUAL REPORT FILING

NAME: SPELMAN & CO., INC.

XX ANNUAL REPORT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX PLAIN STAMPED COPY

CONTACT PERSON: Heather Chapman-EXT# 2908

EXAMINER'S INITIALS: \_\_\_\_\_

RECEIVED  
04 APR 30 PM 4:28  
DEPT. OF STATE  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA