

AMENDED

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

05-17-1999 90051 042 61.25
FILED P39853
SECRETARY OF STATE
DIVISION OF CORPORATIONS

99 SEP 13 AM 9:51

534675-90051-42

DOCUMENT #

1. Corporation Name
GUARDIAN INVESTOR SERVICES CORPORATION

P39853 (7) 62

Principal Place of Business

201 Park Avenue
South
New York, NY
10003

Mailing Address

Equity Compliance
Attn: Shereen Elefante
PO Box 26220
Lehigh Valley, PA 18002-6220

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

7/30/1992

4. FEI Number

13-2615338

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional

Fee Required

6. Election Campaign Financing

☐

\$5.00 May Be

Added to Fees

8. This corporation owes the current year intangible

☐

Personal Property Tax.

☐ Yes ☐ No

2. Principal Place of Business

21

Suite, Apt. #, etc.

22

City & State

23

Zip

24

2a. Mailing Address

26

Suite, Apt. #, etc.

27

City & State

28

Zip

29

Country

30

Country

31

Country

32

9. Name and Address of Current Registered Agent

The Prentice-Hall Corporation System, Inc.
1201 Hays Street
Suite 105
Tallahassee, FL 32301

10. Name and Address of New Registered Agent

81 Name

82

Street Address (P.O. Box Number is Not Acceptable)

83

84

City

FL

85

Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when resigning)

DATE

12. OFFICERS AND DIRECTORS

TITLE

NAME

STREET ADDRESS

CITY-ST-ZIP

TITLE

NAME

STREET ADDRESS

CITY-ST-ZIP

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TITLE

NAME

STREET ADDRESS

CITY-ST-ZIP

SIGNATURE: X

Signature, typed or printed name of signing officer or director

Richard A. Cumiskey, Director Equity Compliance & Licensing

Date

Daytime Phone If

1-800-533-0090

CR2E034 (11/98)

EXHIBIT A

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OFFICERS & DIRECTORS OF THE GUARDIAN INVESTOR SERVICES CORPORATION

NAME	TITLE	RESIDENCE
Brian K. Bey	Director, Equity Sales	2967 Trinity Street Oceanside, NY 11572
Scott C. Brown	Regional Vice President	6 Pine Street Wellesley, MA 02186
Robert W. Burnham	Director, Broker-Dealer Sales	1100 Clove Road, Apt. #2H Staten Island, NY 10301
Joseph A. Caruso	Vice President & Secretary	19 Brookside Drive New Providence, NJ 07974
Peggy L. Coppola	Second Vice President	15 W. 72 nd Street New York, NY 10023
Richard A. Cumiskey	Assistant Vice President & Compliance Officer	5865 Shady Lane ** Nazareth, PA 18064
Phillip H. Dotter	Director	29 Montgomery Lane Greenwich, CT 06830
Joseph Dziena	Regional Vice President	27 Sturbridge Court Egg Harbor, NJ 08234
Leo R. Futia	Director	18 Interlaken Road Greenwich, CT 06830
Alexander M. Grant, Jr.	Second Vice President	400 East 59 th Street, Apt 12E New York, NY 10020
Gretchen Hafner	Director, Advisory Services	119 South 24 th Street Allentown, PA 18104
Earl C. Harry	Treasurer	42 Sunset Avenue Whitely Hgts., NY 11798
Scott E. Horowitz	Assistant Vice President,	2800 Park Avenue Baldwin, NY 11510
Peter L. Hutchings	Director	300 Central Park West New York, NY 10024
Paul Iannelli	Assistant Controller	1574 Winthrop Avenue Bethlehem, PA 1801
Ryan W. Johnson	Senior, Vice President & National Sales Director	90 Blackburn Rd. Basking Ridge, NJ 07920

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OFFICERS & DIRECTORS OF THE GUARDIAN INVESTOR SERVICES CORPORATION

NAME	TITLE	RESIDENCE
Sarah Johnson	Director Development & Distribution	453 E. 14 Street; Apt. 8C New York, NY 10009
Frank J. Jones	Director	122 Rotary Drive Summit, NJ 07901
Ann T. Kearney	Second Vice President	167 Manville Lane Pleasantville, NY 10570
Engracia Nunez	Assistant Vice President Equity Sales Support	36 Highview Avenue Tuckahoe, NY 10707
Karen L. Olvany	Assistant Secretary & Secretary Protem	5 Geiger Place Huntington Station, NY 11746
Frank L. Pepe	Vice President & Controller	401 Daniel Street Lindenhurst, NJ 11757
Richard T. Potter, Jr.	Vice President, Counsel	413 Oxford Road Ridgewood, NJ 07450
Keith Roddy	Divisional Vice President	16326 Elmwood Point Lane Sugar Land, TX 77478
Joseph D. Sargent	President & Chief Executive Officer	90 Lancelot Drive Fairfield, CT 06430
John M. Smith	President & Director	45 Cedar Lake West Denville, NJ 07834
Donald P. Sullivan, Jr.	Vice President	3775 Barlow Place* Bethlehem, PA 18017
William C. Warren	Director	325 Crest Road Ridgewood, NJ 07450

NOTES

Unless indicated otherwise, all business addresses are: 201 Park Avenue South; NY, NY 10003

- (*) Denotes Business address is: 3900 Burgess Place, Bethlehem, PA 18017
- (**) Denotes Business address is: 1560 Valley Center Parkway, Bethlehem, PA 18017