

2004 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Jan 26, 2004 8:00 am
Secretary of State

01-26-2004 90008 025 ***150.00

DOCUMENT # P39842

1. Entity Name
BOSTON ADVISORS, INC.



Principal Place of Business
**100 FEDERAL ST
BOSTON, MA 02110 US**

Mailing Address
**90 STATE HOUSE SQUARE
C/O ADVEST INC. - CHERYL GORHAM
HARTFORD, CT 06103 US**

54000725



2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

01052004

Chg-P

CR2E034 (10/03)

4. FEI Number
04-2805120

Applied For
Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2004 Fee will be \$550.00**

9. Election Campaign Financing
Trust Fund Contribution: ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**VO
MCADAM, DONNA C
90 STATE HOUSE SQUARE
HARTFORD, CT 06103** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition
Please See Attached

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**S
KUCKRO, LEE G
90 STATE HOUSE SQUARE
HARTFORD, CT 06103** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**S
SAWAN, DONNA L
90 STATE HOUSE SQUARE
HARTFORD, CT 06103** ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**D
VOGELZANG, MICHAEL J
100 FEDERAL ST
BOSTON, MA 02110** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**CD
BOTWINICK, ALLEN G
90 STATE HOUSE SQUARE
HARTFORD, CT 06103** ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**SVP
SERBE, GREGORY
120 BROADWAY
NEW YORK, NY 10271** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Lee G. Kuckro*

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Lee G. Kuckro

1/5/04

860-509-1000

Daytime Phone #

Attachment
BOSTON ADVISORS, INC.

P3984

54000725

Officers:

Michael J. Vogelzang
President

One Federal Street
Boston, MA 02110

Gergory W. Serbe
Senior Vice President, Director of
Tax Exempt Investments and President
of Lebenthal Asset Management Division

120 Broadway
New York, NY 10271

Ronald B. Maggiacomo
Senior Vice President and
Chief Operating Officer

One Federal Street
Boston, MA 02110

Donna C. McAdam
Vice President of Operations

90 State House Square
Hartford, CT 06103

Lee G. Kuckro
Clerk

90 State House Square
Hartford, CT 06103

Jason H. Diamond
Treasurer

90 State House Square
Hartford, CT 06103

David A. Horowitz
Assistant Clerk

90 State House Square
Hartford, CT 06103

Directors:

Lee G. Kuckro

90 State House Square
Hartford, CT 06103

Kenneth Levine

1740 Broadway
New York, NY 10019

Michael Roth

1740 Broadway
New York, NY 10019

Jason H. Diamond

90 State House Square
Hartford, CT 06103

Michael J. Vogelzang

One Federal Street
Boston, MA 02630