

PLEASE READ ALL INSTRUCTIONS BEFORE COMPLETING THIS FORM.

APPLICATION  
FOR  
REINSTATEMENT



FLORIDA DEPARTMENT OF STATE  
**Jim Smith**  
Secretary of State  
DIVISION OF CORPORATIONS

FILED

02 NOV 12 AM 11:10

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

DOCUMENT # **P39497**

1. Corporation Name

**AEGON USA INVESTMENT MANAGEMENT, INC.**

Principal Place of Business

%AEGON USA INVESTMENT MGMT., INC.  
4333 EDGEWOOD RD NE  
CEDAR RAPIDS IA 52499-5338

Mailing Address

%AEGON USA INVESTMENT MGMT., INC.  
4333 EDGEWOOD RD NE  
CEDAR RAPIDS IA 52499-5338



If above addresses are incorrect in any way, line through incorrect information and enter correction below.

**REINSTATEMENT** 02

2. New Principal Office Address, If Applicable

3. New Mailing Office Address, If Applicable

4. Date Incorporated or Qualified  
To Do Business in Florida

07/01/1992

Suite, Apt. #, etc.

Suite, Apt. #, etc.

5. FEI Number

42-1333264

Applied For

Not Applicable

City & State

City & State

Zip

Country

Zip

Country

6. CERTIFICATE OF STATUS DESIRED ☒

\$8.75 Additional Fee required  
for a Certificate of Status

7. Names and Street Addresses of Each Officer and/or Director (Florida nonprofit corporations must list at least 3 directors)

Title(s) 1	Name of Officers and/or Directors 2	Street Address of Each Officer and/or Director 3	City / State / Zip 4
DP	GOODMAN, ERIC B	4333 EDGEWOOD RD., NE	CEDAR RAPIDS IA
D	VERMIE, CRAIG D	4333 EDGEWOOD ROAD N.E.	CEDAR RAPIDS IA 52499
V	SHEETS, CLIFFORD A. ---	4333 EDGEWOOD RD., NE	CEDAR RAPIDS IA
VP	KETTERING, JON D	4333 EDGEWOOD RD., NE	CEDAR RAPIDS IA
V	O'BRIEN, RALPH M. ---	4333 EDGEWOOD RD., NE	CEDAR RAPIDS IA
VP	THEOBALD, GREGORY W.	4333 EDGEWOOD RD., NE	CEDAR RAPIDS IA

See attached listing for additional officers and directors

8. Name and Address of Current Registered Agent

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND BLVD.  
PLANTATION FL 33324

9. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

Suite, Apt. #, Etc.

City

800008941808  
11/12/02--01118--017 \*\*758.75

State

FL

Zip Code

CR2E040 (8/02)

10. I, being appointed the registered agent of the above named corporation, am familiar with and accept the obligations of Section 607.0505, F.S. or 617.0505, F.S.

Signature of  
Registered Agent

**SIGNATURE REQUIRED**  
*James M. Halpin*  
REGISTERED AGENT MUST SIGN Assistant Secretary

Date

11/1/02

11. I certify that I am an officer or director or the receiver or trustee empowered to execute this application as provided for in chapter 607 or 617, F.S. I further certify that when filing this reinstatement application, the reason for dissolution has been eliminated, the corporate name satisfies the requirements of section 607.0401 or 617.0401, F.S., that all fees owed by the corporation have been paid and the names of individuals listed on this form do not qualify for an exemption under section 119.07(3)(i), F.S. The information indicated on this application is true and accurate, and my signature shall have the same legal effect as if made under oath.

SIGNATURE:

*M. Christina Galligan*  
**SIGNATURE REQUIRED**  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

M. Christina Galligan, Asst. VP

Date

Daytime Phone #

AEGON USA INVESTMENT MANAGEMENT, INC.  
OFFICERS AND BOARD OF DIRECTORS

DIRECTORS:

Douglas C. Kolsrud, Chairman of the Board  
Brenda K. Clancy  
Craig D. Vermie

OFFICERS:

Eric B. Goodman, President & Chief Investment Officer  
Kirk W. Buese, Executive Vice President – Private Finance  
William S. Cook, Executive Vice President -- Capital Market Strategies  
Daniel P. Fox, Executive Vice President – Risk Management  
David R. Ludke, Executive Vice President  
Mark J. Zinkula, Executive Vice President – Public Fixed Income  
David M. Carney, Senior Vice President and Chief Financial Officer  
Bradley J. Beman, Senior Vice President  
Mark E. Dunn, Senior Vice President  
David R. Halfpap, Senior Vice President  
Steven P. Opp, Senior Vice President  
Sarvjeev S. Sidhu, Senior Vice President  
Christopher R. Sebald, Senior Vice President  
Michael B. Simpson, Senior Vice President  
Jon L. Skaggs, Senior Vice President  
Robert A. Smedley, Senior Vice President  
Mark W. Bursinger, Vice President  
Ashok K. Chawla, Vice President  
Douglas A. Dean, Vice President  
Robert Fitzsimmons, Vice President  
Robert L. Hansen, Vice President  
Scott P. Hassenstab, Vice President  
Jon D. Kettering, Vice President  
James R. Landis, Vice President  
Jeffrey T. McGlaun, Vice President  
Stephanie M. Phelps, Vice President  
Gregory W. Theobald, Vice President and Assistant Secretary  
M. Christina Galligan, Assistant Vice President  
Donna L. Heitzman, Assistant Vice President  
Karen E. Hufnagel, Assistant Vice President  
Michael N. Meese, Assistant Vice President  
Mary T. Pech, Assistant Vice President  
Michael J. Rudzik, Assistant Vice President  
Paul J. Houk, General Counsel and Secretary  
Robert S. Jett III, Assistant Secretary  
Brian E. Rolland, Treasurer

The address of all the above:

4333 Edgewood Road NE  
Cedar Rapids, IA 52499