

2001 UNIFORM BUSINESS REPORT (UBR)

FILED
May 16, 2001 8:00 am
Secretary of State

05-16-2001 90222 003 ***150.00

DOCUMENT # P39497

1. Entity Name

AEGON USA INVESTMENT MANAGEMENT, INC.

Principal Place of Business

Mailing Address

%AEGON USA INVESTMENT MGMT., INC.
 4333 EDGEWOOD RD NE
 CEDAR RAPIDS IA 52499-5535-

%AEGON USA INVESTMENT MGMT., INC.
 4333 EDGEWOOD RD NE
 CEDAR RAPIDS IA 52499-5555-

Please change zip code to 52499-5338

2. Principal Place of Business

AEGON USA

3. Mailing Address

4333 Edgewood Road NE

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Cedar Rapids, IA

Zip

Country

Zip

Country

52499-5338

USA

4. FEI Number

42-1333264

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
 1200 SOUTH PINE ISLAND BLVD.
 PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **DP** ☒ Delete
 NAME **KOLSURD, DOUGLAS** as Pres. - still
 STREET ADDRESS **4333 EDGEWOOD RD., NE** Director
 CITY-ST-ZIP **CEDAR RAPIDS IA**

TITLE **President & CIO** ☒ Change ☐ Addition
 NAME **Eric B. Goodman**
 STREET ADDRESS **4333 Edgewood Road NE**
 CITY-ST-ZIP **Cedar Rapids, IA 52499**

TITLE **D** ☐ Delete
 NAME **VERMIE, CRAIG D**
 STREET ADDRESS **4333 EDGEWOOD ROAD N.E.**
 CITY-ST-ZIP **CEDAR RAPIDS IA 52499-5336**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **V** ☐ Delete
 NAME **SHEETS, CLIFFORD A**
 STREET ADDRESS **4333 EDGEWOOD RD., NE**
 CITY-ST-ZIP **CEDAR RAPIDS IA**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **VP** ☐ Delete
 NAME **KETTERING, JON D**
 STREET ADDRESS **4333 EDGEWOOD RD., NE**
 CITY-ST-ZIP **CEDAR RAPIDS IA**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **V** ☐ Delete
 NAME **O'BRIEN, RALPH M.**
 STREET ADDRESS **4333 EDGEWOOD RD., NE**
 CITY-ST-ZIP **CEDAR RAPIDS IA**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **VP** ☐ Delete
 NAME **THEOBALD, GREGORY W.**
 STREET ADDRESS **4333 EDGEWOOD RD., NE**
 CITY-ST-ZIP **CEDAR RAPIDS IA**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

M. Christina Sullivan
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/25/2001

Date

(319) 398-8823

Daytime Phone #

CR2E034 (10/00)

Attachment

AEGON USA INVESTMENT MANAGEMENT, INC.
ADDITIONAL OFFICERS AND DIRECTORS

P39497
766248

DIRECTORS:

Brenda K. Clancy

OFFICERS:

William S. Cook, Executive Vice President -- Capital Market Strategies
David R. Ludke, Executive Vice President
Daniel P. Fox, Executive Vice President -- Risk Management
~~David M. Carney, Senior Vice President and Chief Financial Officer~~
David R. Halfpap, Senior Vice President
Steven P. Opp, Senior Vice President
Kirk W. Buese, Senior Vice President
Michael B. Simpson, Senior Vice President
Mark J. Zinkula, Senior Vice President
Christopher R. Sebald, Senior Vice President
Robert L. Hansen, Vice President
Bradley J. Beman, Vice President
Douglas A. Dean, Vice President
Stephanie M. Phelps, Vice President
Jon L. Skaggs, Vice President
Robert A. Smedley, Vice President
Ashok K. Chawla, Vice President
Sarvjeev S. Sidhu, Vice President
James R. Landis, Vice President
Craig M. Enright, Vice President
Robert Fitzsimmons, Vice President
Scott P. Hassenstab, Vice President
Gayle L. Sopousek, Vice President
Michael N. Meese, Assistant Vice President
Mary T. Pech, Assistant Vice President
~~Mark E. Dunn, Assistant Vice President~~
Donna L. Heitzman, Assistant Vice President
Karen H. Fleming, Assistant Vice President
David Hopewell, Assistant Vice President
Michael J. Rudzik, Assistant Vice President
M. Christina Galligan, Assistant Vice President
Paul J. Houk, Secretary
Robert S. Jett III, Assistant Secretary
Brian E. Rolland, Treasurer
Clifton W. Flenniken III, Assistant Treasurer

The address of all the above is: 4333 Edgewood Road NE
Cedar Rapids, IA 52499