

FILE NOW: FILING FEE AFTER MAY 1 IS \$550.00

PROFIT
CORPORATION
ANNUAL REPORT
1997



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

FILED
Apr 16 1997 8:00am
Secretary of State

DOCUMENT # **P39497** (3)
1. Corporation Name
AEGON USA INVESTMENT MANAGEMENT, INC.



Principal Place of Business
**4333 EDGEWOOD ROAD N.E.
CEDAR RAPIDS IA 52499-5336**

Mailing Address
**4333 EDGEWOOD ROAD N.E.
CEDAR RAPIDS IA 52499-0001**

2. Principal Place of Business

2a. Mailing Address

21 Suite, Apt. #, etc.

26 Suite, Apt. #, etc.

22 City & State

27 City & State

23 Zip

Country

28 Zip

Country

24

25

29

30

9. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

3. Date Incorporated or Qualified

07/01/1992

3a. Date of Last Report

05/01/1996

4. FEI Number

42-1333264

Applied For
Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution

☐

\$5.00 May Be
Added to Fees

8. This corporation has liability for intangible tax under s. 199.032,
Florida Statutes

☐ Yes

☒ No

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE ☐ DELETE

NAME **DP FALCONIO, PATRICK E.**
STREET ADDRESS **4333 EDGEWOOD RD., NE**
CITY-ST-ZIP **CEDAR RAPIDS IA**

TITLE ☐ DELETE

NAME **D VERMIE, CRAIG D**
STREET ADDRESS **4333 EDGEWOOD ROAD N.E.**
CITY-ST-ZIP **CEDAR RAPIDS IA 52499-5336**

TITLE ☐ DELETE

NAME **V FLYNN, DONALD E.**
STREET ADDRESS **4333 EDGEWOOD RD., NE**
CITY-ST-ZIP **CEDAR RAPIDS IA**

TITLE ☐ DELETE

NAME **VT KETTERING, JON D**
STREET ADDRESS **4333 EDGEWOOD RD., NE**
CITY-ST-ZIP **CEDAR RAPIDS IA**

TITLE ☐ DELETE

NAME **V O'BRIEN, RALPH M.**
STREET ADDRESS **4333 EDGEWOOD RD., NE**
CITY-ST-ZIP **CEDAR RAPIDS IA**

TITLE ☐ DELETE

NAME **VPS THEOBALD, GREGORY W.**
STREET ADDRESS **4333 EDGEWOOD RD., NE**
CITY-ST-ZIP **CEDAR RAPIDS IA**

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☐ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

2.1 TITLE ☐ Change ☐ Addition

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP

3.1 TITLE ☐ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

4.1 TITLE ☐ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE ☐ Change ☐ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE ☐ Change ☐ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

4/10/97

210/208 8782

CR2E034 (9/96)

AEGON USA INVESTMENT MANAGEMENT, INC.

ADDITIONAL OFFICERS AND DIRECTORS

DIRECTORS:

Brenda K. Clancy

OFFICERS:

Donald W. Chamberlain, Executive Vice President
James D. Ross, Executive Vice President
Clifford A. Sheets, Executive Vice President
Michael Van Meter, Senior Vice President
David R. Halfpap, Senior Vice President
Steven P. Opp, Senior Vice President
Lewis O. Funkhouser, Vice President
Drew E. Washburn, Vice President
James E. Fine, Vice President
Thomas E. Myers, Vice President
Rachel Dennis, Vice President
Michael N. Meese, Vice President
Robert L. Hansen, Vice President
David M. Carney, Vice President
Thomas A. Keel, Vice President
Daniel H. Thatcher, Vice President
Mary T. Pech, Assistant Vice President
Bradley J. Beman, Assistant Vice President
Karen J. Green, Assistant Vice President
Robert S. Jett, Assistant Secretary

The address of all the above is:

4333 Edgewood Road NE
Cedar Rapids, Iowa 52499