

**FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)**

FILED
Mar 21, 2003 8:00 am
Secretary of State

03-21-2003 90090 023 ***150.00

DOCUMENT # P39103

1. Entity Name

Deutsche Bank Securities Inc.



DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

31 W. 52nd Street

3. Mailing Address

31 W. 52nd Street

Suite, Apt. #, etc.

Suite, Apt. #, etc.

MS NYC09-0810

DO NOT WRITE IN THIS SPACE

City & State

New York, NY 10019

City & State

New York, NY 10019

4. FEI Number

13-2730828

Applied For

Not Applicable

Zip

10019

Country

U.S.A.

Zip

10019

Country

U.S.A.

5. Certificate of Status Desired ☒

\$8.75 Additional
Fee Required

**DO NOT WRITE
IN THIS SPACE**

7. Name and Address of Current Registered Agent

Name

CT Corporation System

Street Address (P.O. Box Number is Not Acceptable)

1200 South Pine Island Rd

City

Plantation

FL

Zip Code

33324

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

January 1 - May 1 Fee is \$150.00

After May 1, Fee is \$550.00

Amended UBR is \$61.25

Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

TITLE

D

NAME

Cotter, E. Robert

STREET ADDRESS

280 Park Avenue

CITY-ST-ZIP

New York, NY 10017

TITLE

D

NAME

Dipietro, David

STREET ADDRESS

One South Street

CITY-ST-ZIP

Baltimore, MD 21202

TITLE

D/P

NAME

vonArentschildt, Charles

STREET ADDRESS

31 W. 52nd Street

CITY-ST-ZIP

New York, NY 10019

TITLE

D

NAME

Waugh, Seth

STREET ADDRESS

31 W. 52nd Street

CITY-ST-ZIP

New York, NY 10019

TITLE

S

NAME

Byrne, James T.

STREET ADDRESS

31 W. 52nd Street

CITY-ST-ZIP

New York, NY 10019

TITLE

M/T

NAME

Ferguson, Richard W.

STREET ADDRESS

31 W. 52nd Street

CITY-ST-ZIP

New York, NY 10019

TITLE

NAME

STREET ADDRESS

CITY-ST-ZIP

TITLE

NAME

STREET ADDRESS

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STREET ADDRESS

CITY-ST-ZIP

TITLE

NAME

STREET ADDRESS

CITY-ST-ZIP

**DO NOT WRITE
IN THIS SPACE**

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or on an attachment with an address, with all other like empowered.

Sonja K. Olsen

SIGNATURE:

Sonja K. Olsen

Assistant Secretary

3-18-03

212-469-0019

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034B (12/02)

Attachment

10045156
P3903

11. (Con't.)	
TITLE	Assistant Secretary
NAME	Sonja K. Olsen
STREET ADDRESS	31 West 52 nd Street
CIT-ST-ZIP	New York, NY 10019