

**FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)**

FILED
Jul 09, 2002 8:00 am
Secretary of State

07-09-2002 90377 039 ***550.00

DOCUMENT # P39103

1. Entity Name

Deutsche Bank Securities Inc.

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

31 W. 52nd Street

Suite, Apt. #, etc.

3. Mailing Address

31 W. 52nd Street

Suite, Apt. #, etc.

MS NYC09-0810

City & State

New York, NY

City & State

New York, NY

Zip
10019

Country

U.S.A.

Zip
10019

Country
U.S.A.

4. FEI Number

13-2730828

Applied For

Not Applicable

5. Certificate of Status Desired ☒

\$8.75 Additional
Fee Required

7. Name and Address of Current Registered Agent

Name

CT-Corporation System

Street Address (P.O. Box Number is Not Acceptable)

1200 South Pine Island Rd

City

Plantation

FL

Zip Code
33324

**DO NOT WRITE
IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent, and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

☒ This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

January 1 - May 1 Fee is \$150.00

After May 1, Fee is \$550.00

Amended UBR is \$61.25

Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY - ST - ZIP	D Cotter, E. Robert 280 Park Avenue New York, NY 10017
TITLE NAME STREET ADDRESS CITY - ST - ZIP	D Dipietro, David One South Street Baltimore, MD 21202
TITLE NAME STREET ADDRESS CITY - ST - ZIP	D Hughes, Thomas J. 280 Park Avenue New York, NY 10017
TITLE NAME STREET ADDRESS CITY - ST - ZIP	D von-Arentschildt, Charles 31 W. 52nd Street New York, NY 10019
TITLE NAME STREET ADDRESS CITY - ST - ZIP	D Waugh, Seth H. 31 W. 52nd Street New York, NY 10019
TITLE NAME STREET ADDRESS CITY - ST - ZIP	S Byrne, James T. 31 W. 52nd Street New York, NY 10019

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**DO NOT WRITE
IN THIS SPACE**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE: *Sonja K. Olsen*

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Sonja K. Olsen

Assistant Secretary

July 3, 2002

212-469-0019

Date

Daytime Phone #

Attachment

B0127911

#P39103

TITLE NAME STREET ADDRESS CITY-ST-ZIP	Assistant Secretary Olsen, Sonja K. 31 W. 52 nd Street, MS NYC09-0810 New York, NY 10019
TITLE NAME STREET ADDRESS CITY-ST-ZIP	M/T Ferguson, Richard W. 31 W. 52 nd Street, MS NYC01-1508 New York, NY 10019
TITLE NAME STREET ADDRESS CITY-ST-ZIP	M/Chief Compliance Officer Owen, Mary N. 31 W. 52 nd Street, MS NYC09-0814 New York, NY 10019