

**FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)**

FILED
Aug 13, 2002 8:00 am
Secretary of State

08-13-2002 90228 037 ***550.00

DOCUMENT # P38636 *NIC 810 6/26/01 H/M*

1. Entity Name
Woodbury Financial Services, Inc. ✓

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business
500 Bielenberg Drive
Suite, Apt. #, etc.

3. Mailing Address
200 Hopmeadow Street
Suite, Apt. #, etc.

City & State
Woodbury, MN

City & State
Simsbury, CT

Zip
55125

Country
USA

Zip
06089

Country
USA

4. FEI Number
41-0944586

Applied For
☒ Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

DO NOT WRITE IN THIS SPACE

974012

**DO NOT WRITE
IN THIS SPACE**

7. Name and Address of Current Registered Agent

Name
CT Corporation System

Street Address (P.O. Box Number is Not Acceptable)
1200 South Pine Island Road

City
Plantation

FL **Zip Code**
33324

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____ (Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)) **DATE** _____

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. ☐ **January 1 - May 1 Fee is \$150.00**
After May 1, Fee is \$550.00
Amended UBR is \$61.25
Make Check Payable to Department of State

10. Election Campaign Financing ☐ **\$5.00 May Be Added to Fees**
Trust Fund Contribution.

11. OFFICERS AND DIRECTORS			
TITLE NAME STREET ADDRESS CITY - ST - ZIP	President and CEO Robert A. Kerzner 200 Hopmeadow Street Simsbury, CT 06089	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	Senior Vice President Walter R. White 200 Hopmeadow Street Simsbury, CT 06089	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	Secretary John Hite 500 Bielenberg Drive Woodbury, MN 55125	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	Treasurer Richard Fergesen 500 Bielenberg Drive Woodbury, MN 55125	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	Director Lois W. Grady 200 Hopmeadow Street Simsbury, CT 06089	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
TITLE NAME STREET ADDRESS CITY - ST - ZIP	Director Brian Murphy 500 Bielenberg Drive Woodbury, MN 55125	TITLE NAME STREET ADDRESS CITY - ST - ZIP	

**DO NOT WRITE
IN THIS SPACE**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(f), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other duly empowered

SIGNATURE: Robert A. Kerzner **8/9/02** **(866) 843-5476**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034B (12/01)

Directors / Officers Report

As of 08/01/2002

Woodbury Financial Services, Inc.

Directors

Lois Weingart Grady

Director

Primary Address: 200 Hopmeadow Street
Simsbury, CT 06089 USA

Robert Allen Kerzner

Director

Primary Address: 200 Hopmeadow Street
Simsbury, CT 06089 USA

Brian Murphy

Director

Primary Address: 500 Bielenberg Drive
Woodbury, MN 55125

Walter R. White

Director

Primary Address: 500 Bielenberg Drive
Woodbury, MN 55125

Officers

Brian Murphy

Vice President

Primary Address: 500 Bielenberg Drive
Woodbury, MN 55125

Sarah J. Harding

Assistant Secretary

Primary Address: 200 Hopmeadow Street
Simsbury, Connecticut 06089

Mark Cadalbert

Chief Compliance Officer

Primary Address: 500 Bielenberg Drive
Woodbury, MN 55125

Richard Fergesen

Chief Financial Officer, Treasurer and Financial Principal

Primary Address: 500 Bielenberg Drive
Woodbury, MN 55125

John Hite

Vice President, Secretary and Chief Legal Officer

Primary Address: 500 Bielenberg Drive
Woodbury, MN 55125

Robert Allen Kerzner

Chief Executive Officer & President

Primary Address: 200 Hopmeadow Street
Simsbury, CT 06089 USA

Attachment
974212
P38236

Directors / Officers Report

As of 08/01/2002

Woodbury Financial Services, Inc.

Walter R. White

**Senior Vice President, Operations, and Operations
Principal**

Primary Address: 500 Bielenberg Drive
Woodbury, MN 55125

Other

Richard Fergesen

Committee Member

Primary Address: 500 Bielenberg Drive
Woodbury, MN 55125

John Hite

Committee Member

Primary Address: 500 Bielenberg Drive
Woodbury, MN 55125

Brian Murphy

Committee Member

Primary Address: 500 Bielenberg Drive
Woodbury, MN 55125

Walter R. White

Committee Member

Primary Address: 500 Bielenberg Drive
Woodbury, MN 55125